

# P.U.(A) 48/2011 Patents Regulations (Amendment) 2011

#### **Preamble**

IN exercise of the powers conferred by section 87 of the Patents Act 1983 [*Act 291*], the Minister makes the following regulations:

#### Citation and commencement

- 1. (1) These regulations may be cited as the **Patents (Amendment) Regulations 2011**.
  - (2) These Regulations come into operation on 15 February 2011.

## Amendment of regulation 10

- 2. The Patents Regulations 1986 [*P.U.* (*A*) 327/1986], which are referred to as the "principal Regulations" in these Regulations, is amended by inserting after subregulation 10(2) the following subregulation:
  - "(3) For the purposes of subregulations (1) and (2), the request shall be made in Form 22 and shall be submitted together with Form 1 and Form 17.".

### Amendment of regulation 26

3. Subregulation 26(1) of the principal Regulations is amended by inserting after the word "9," the word "10,".

## Amendment of regulation 27

4. Subregulation 27(1) of the principal Regulations is amended by substituting for the words "two years" the words "eighteen months".

### Amendment of regulation 27A

5. Subregulation 27A(1) of the principal Regulations is amended by substituting for the words "two years" the words "eighteen months".

### **Amendment of regulation 27C**

- 6. Regulation 27C of the principal Regulations is amended—
  - (a) in subregulation (1), by deleting the word "10,"; and
  - (b) in subregulation (4), by substituting for the word "three" the word "two".

## Amendment of regulation 27D

- 7. Regulation 27D of the principal Regulations is amended—
  - (a) in subregulation (1), by deleting the word "10,"; and
  - (b) in subregulation (5), by substituting for the word "three" the word "two".

## **New regulation 27E**

- 8. The principal Regulations are amended by inserting after regulation 27D the following regulation:
  - 27E. (1) An applicant who requests or has requested for substantive examination under regulation 27 may request the Registrar to undertake an expedited examination of the application once it has been made available for public inspection under section 34 of the Act.
  - (2) A request for expedited examination shall be made to the Registrar in Form 5H and shall be accompanied with—
    - (a) a statutory declaration stating the grounds for requesting the expedited examination; and
    - (b) the prescribed fee.
  - (3) If an applicant has requested for an expedited examination, the Registrar may do so if the Registrar is reasonably satisfied that—
    - (a) it is in the national or public interest;
    - (b) there are infringement proceedings taking place or evidence showing potential infringement with regards to the patent being applied;
    - (c) the applicant has already commercialized the invention or plan to commercialize the invention within two years from the filing date of a request for expedited examination under this regulation;

- (d) application for grant of the patent is a condition to obtain monetary benefits from the Government or institutions recognized by the Registrar;
- (e) the invention relates to green technologies that will enhance the quality of the environment or conservation of energy resources; or
- (f) there are other reasonable grounds which support the request.
- (4) The Registrar shall notify the applicant in writing, as soon as practicable after making his decision, whether the expedited examination shall be conducted or not.
- (5) If the Registrar is satisfied that a request for an expedited examination of the application is warranted, the applicant shall, within five working days from the date of receipt of the Registrar's decision in subregulation (4), file Form 5I and pay the prescribed fee.
- (6) For the purpose of substantive examination of the application, if the Examiner reports that any of the requirements referred to in subsections 30(1) and (2) of the Act was not complied with, the Registrar shall give the applicant an adverse report to explain to the applicant the requirements which were not complied with and the applicant shall be given one opportunity to make observations on the report and to amend the application as to comply with those requirements within three weeks from the date of the issuance of the adverse report by the Registrar.
- (7) If the applicant fails to amend the application within the time stipulated under subregulation (6), the request for expedited examination is deemed to be withdrawn.".

### Amendment of regulation 52

- 9. The principal Regulations are amended by substituting for regulation 52 the following regulation:
  - 52. (1) Any document or thing required or authorized by the Act or these Regulations to be filed at the Patent Registration Office shall be delivered by hand to the Patent Registration Office during its normal business hours or sent to the Registrar by post.
  - (2) Sending by post shall be deemed to be effected by properly addressing, preparing and posting a letter containing the document or thing, with the prepaid postage on it, to the Registrar at the Patent Registration Office and the document or thing shall be deemed to have been received at the time when the document or thing is actually received at the Patent Registration Office.

(3) The filing of a document or thing at the Patent Registration Office shall be deemed to be effected at such time as it is received at the Patent Registration Office and is recorded as received.".

## New regulations 52A and 52B

- 10. The principal Regulations are amended by inserting after regulation 52 the following regulations:
  - 52A. (1) The Registrar may provide a service for the electronic filing of the document or thing required or authorized by the Act or these Regulations to be filed with the Registrar.
  - (2) A person who intends to use the service provided under subregulation (1) shall comply with the terms and conditions as may be specified by the Registrar, either generally by notice published in the official website or, in any particular case, by notice, including through electronic means, to the person desiring to use such service.
  - (3) A document or thing which is electronically filed under this regulation shall be deemed to be effected at such time as that document or thing is accepted by the electronic filing system.
  - (4) Where any accompanying document or thing is not capable of being filed electronically, such accompanying document or thing may be delivered or sent to the Registrar in the manner specified in regulation 8, subject to such terms and conditions as the Registrar may specify.
  - 52B. (1) Without limiting the generality of subregulation 52A(2), the terms and conditions for electronic filing shall include the following:
    - (a) the procedure to be complied with for the electronic filing of documents and things;
    - (b) the format or media in which a document or thing is to be recorded or stored for purposes of electronic filing;
    - (c) the manner of authenticating a document or thing which is required to be stamped, signed, sealed or certified, including the requirement for a digital signature of the person filing the document or thing; and
    - (d) the actions to be taken in the event of an interruption in the process of performing the electronic filing.

- (2) Notwithstanding regulation 52A, the Registrar may refuse to accept or to register any document or thing which is filed electronically if—
  - (a) the information contained in such document or thing which is not capable of being displayed in a legible form;
  - (b) the electronic record of such document or thing is not capable of being stored in the electronic filing system;
  - (c) the electronic record of such document or thing appears to the Registrar to be altered, damaged, incomplete or forged; or
  - (d) any of the terms or conditions on electronic filing specified by the Registrar is not complied with.".

### Amendment of Schedule I

9. The principal Regulations are amended by substituting for Schedule I the following Schedule:

"SCHEDULE I (Subregulation 2(2)) FEES

## PART I

(1)	(2)	(3)	(4)	(5)
Item	Matter/Proceeding	Corresponding	E-Filing Fee	Manual Fee
No		Form	(RM)	(RM)
1	Request for grant of patent	Form 1	260.00	290.00
	(b) Claims			
	for 1st ten claim		Nil	Nil
	for every additional claim		20.00	20.00
	(per claim)			
2	Declaration of withdrawal	Form 2	Nil	Nil
	of application			
2A	Entering national phase	Form 2A		

	for first ten claims		260.00	290.00
	for every additional claim			
	(per claim)			
			20.00	20.00
2B	Request for reinstatement	Form 2A	650.00 per	690.00 per
	under section 780A		month of delay	month of delay
3	Request for substantive	Form 5	950.00	1100.00
	examination			
4	Request for modified	Form 5A	600.00	640.00
	substantive examination			
5	Request for deferment of	Form 5B	Nil	Nil
	filing of request for			
	examination or provision			
	of information			
6	Request for certified copies	Form 5C	70.00	80.00
	of extract			
7	Request to amend Register	Form 5D	70.00	80.00
8	Request for reinstatement	Form 5E	130.00	140.00
	of lapsed patent			
9	Request for licence to	Form 5F	Nil	Nil
	exploit patented invention			
10	Request to convert	Form 5G	260.00	290.00
	application for patent into			
	application			
	for certificate of utility			
	innovation or vice versa			
11	Request for approval of	Form 5H	200.00	250.00
	expedited examination			
12	Request for Expedited	Form 5I	2000.00	2200.00
	examination			

13	Application or recording of	Form 6	130.00	140.00
	assignment of			
	transmission			
14	Application for entry in	Form 7	70.00	80.00
	Register that any person			
	may			
	obtain a licence			
15	Application for	Form 8	70.00	80.00
	cancellation of entry in			
	Register that any person			
	may obtain a licence			
16	Request for recording of	Form 9	130.00	140.00
	particulars of licence			
	contract in Register			
17	Request for recording	Form 10	100.00	110.00
	expiry or termination of			
	licence contract in Register			
18	Application for compulsory	Form 11	100.00	110.00
	licence			
19	Request for amendment of	Form 12	100.00	110.00
	decision			
20	Request for cancellation of	Form 13	100.00	110.00
	compulsory licence			
21	Application for grant of	Form 14	130.00	140.00
	certificate of utility			
	innovation			
22	Application to extend term	Form 15	130.00	140.00
	of certificate for utility			
	innovation			

23	Request	to	amend	Form 16	70.00	80.00
	application	for gr	ant of			
	patent					
24	Request to a	mend p	atent	Form 16A	70.00	80.00
25	Appointmen	it or ch	ange of	Form 17	70.00	80.00
	patent agent	t				
26	Application	for regis	stration	Form 18	2600.00	2670.00
	of patent age	ent				
27	Application	for regis	stration	Form 18A	130.00	140.00
	as cano	didate	for			
	examination	1				

# PART II

(1)	(2)	(3)	(4)
Item	Matter/Proceeding	E-Filing Fee	Manual Fee
No.		(RM)	
1	Copy of patent	40.00	40.00
2	Copy of search report	30.00	30.00
3	Examination of Register	15.00 per hour	15.00 per hour
4	Certified copies or extract from Register	15.00 per page	15.00 per page
5	Copy or extract from Register	3.00 per page	3.00 per page
6	Fee for public inspection of information relating to	30.00 per hour	30.00 per hour
	patent application		
7	Certified copies or extract of any information –		
	for 1st five pages	100.00 per page	100.00 per page
	for every additional page	3.00 per page	3.00 per page
8	Annual fee for patent:		
	for second year after grant of patent	260.00	290.00
	for third year after grant of patent	330.00	360.00

(1)	(2)	(3)	(4)
Item	Matter/Proceeding	E-Filing Fee	Manual Fee
No.		(RM)	
	for fourth year after grant of patent	390.00	420.00
	for fifth year after grant of patent	460.00	490.00
	for sixth year after grant of patent	520.00	560.00
	for seventh year after grant of patent	600.00	640.00
	for eight year after grant of patent	650.00	690.00
	for ninth year after grant of patent	720.00	760.00
	for tenth year after grant of patent	780.00	820.00
	for eleventh year after grant of patent	850.00	890.00
	for twelfth year after grant of patent	900.00	940.00
	for thirteenth year after grant of patent	1050.00	1100.00
	for fourteenth year after grant of patent	1200.00	1250.00
	for fifteenth year after grant of patent	1300.00	1350.00
	for sixteenth year after grant of patent	1600.00	1660.00
	for seventeenth year after grant of patent	1850.00	1900.00
	for eighteenth year after grant of patent	2100.00	2200.00
	for nineteenth year after grant of patent	2400.00	2500.00
	for twentieth year after grant of patent	2600.00	2700.00
9	Surcharge for reinstatement	100% of fee	100% of fee
		for year concerned	for year concerned
10	Surrender of compulsory licence	80.00	90.00
11	Surrender of patent	80.00	90.00
12	Annual fee for certificate for utility innovation:		
	for third year after grant of certificate	160.00	170.00
	for fourth year after grant of certificate	210.00	240.00
	for fifth year after grant of certificate	210.00	240.00
	for sixth year after grant of certificate	260.00	290.00
	for seventh year after grant of certificate	260.00	290.00

(1)	(2)	(3)	(4)
Item	Matter/Proceeding	E-Filing Fee	Manual Fee
No.		(RM)	
	for eight year after grant of certificate	320.00	350.00
	for ninth year after grant of certificate	320.00	350.00
	for tenth year after grant of certificate	370.00	400.00
	for eleventh year after grant of certificate	520.00	560.00
	for twelfth year after grant of certificate	780.00	820.00
	for thirteenth year after grant of certificate	910.00	950.00
	for fourteenth year after grant of certificate	1050.00	1100.0
	for fifteenth year after grant of certificate	1300.00	1350.00
	for sixteenth year after grant of certificate	1450.00	1500.00
	for seventeenth year after grant of certificate	1600.00	1650.00
	for eighteenth year after grant of certificate	1700.00	1750.00
	for nineteenth year after grant of certificate	1850.00	1900.00
	for twentieth year after grant of certificate	1950.00	2000.00
13	Holding of hearing	130.00	140.00
14	Certificate of grant of patent	Nil	Nil
15	Certificate for a utility innovation	Nil	Nil
15A	Examination fee	130.00 per subject	140.00 per subject
16	Appeal against examination results	260.00 per subject	290.00 per subject
17	Extension of time (for every month or part of a	70.00	80.00
	month)		
18	Surcharge for late payment of annual fee	100% of fee	100% of fee
		for year concerned	for year concerned
19	Public search through computer	30.00	30.00
20	Computer print-out (bibliography data per page)	10.00	10.00
21	Permitted information (upon request)		
	for less than 10 pages	130.00	140.00
	for the subsequent pages	7.00	7.00

(1)	(2)	(3)	(4)
Item	Matter/Proceeding	E-Filing Fee	Manual Fee
No.		(RM)	
22	Fee for preparing international application	3.00 per page	3.00 per page

# PART III

under

(1)	(2)	(3)		(4)		
Item	Matter/ Proceeding	E-Filing Fee		Manual F	ee	
No.		(RM)		(RM)		
1	Transmittal fee under Rules 14 and 19 of the					
	Regulations under the Treaty:					
	for the first 30 sheets	500.00		550.00		
	for each sheet in excess of 30 sheets (per sheet)	60.00		70.00		
2	Fee for the late furnishing of transliteration for the	25% of	the	25%	of	the
	purposes of international search	international		internatio	onal	
		filing fee prescrib	ed	filing fee	prescribe	ed
		under the Treaty		under the	Treaty	
3	Fee for the late furnishing of transliteration for the	25% of	the	25%	of	the
	purposes of international publication	international		internatio	onal	
		filing fee prescrib	ed	filing fee	prescribe	ed
		under the Treaty		under the	Treaty	
4	Late payment fee under Rule 16 of the Regulation	(i) 50% of the am	ount o	of unpaid fe	es; or	

(1)	(2)	(3)	(4)
Item	Matter/ Proceeding	E-Filing Fee	Manual Fee
No.		(RM)	(RM)
	Treaty	(ii) an amount equal	to the transmittal fee
		whichever is the high	ner provided that the
		amount of late paymen	t fees shall not exceed
		50% of the internation	al filing fee prescribed

under the Treaty

5 Fee for complying with national requirement in 130.00 140.00 response

to the invitation

## **Amendment of Schedule II**

The principal Regulations is amended in Schedule II by inserting after Form 5G the following form:

"

Patents Form No. 5H	For Official Use
PATENTS ACT 1983	APPLICATION NO.:
REQUEST FOR APPROVAL FOR EXPEDITED EXAMINATION	Filing Date:
(Regulation 27E)	Request received on:
To: The Registrar of Patent	Fee received on:
Patents Registration Office Kuala Lumpur, Malaysia	Amount:
Ruala Bullipur, Malaysia	*Cheque/Postal Order/Money Order/Draft/ Cash No.:
	Date of mailing:

Please submit this Form in duplicate together with the prescribed fee		Applicant's or Agent's file reference:		
I.	I. IN THE MATTER OF:			
	Patent Application No.:	Filing Date:		
	Certificate Application No.:	Filing Date:		
II.	APPLICANT:			
	Name :			
	Address :			
III.	REQUEST:			
	identified above for an expedited	trar to refer the patent application described examination in accordance with the Patents Regulations 1986. This ag (please tick):		
	(a) Statutory Declaration			
	(b) Grounds of statement			
	(c) Others (please specify)			
	Where the Registrar is satisfied	that the request for expedited		

	examination complies with the requirementhe shall issue a notification that the applicant shall make the payment of the prescribed fee.
IV.	ADDITIONAL INFORMATION accompanying this Form:
	Ye <u>s</u> No
V.	SIGNATURE
	*Applicant/Agent) (Date)
If Agent,	indicate Agent's Registration No

Detecto Comp. No. 51	Face Official Has
Patents Form No. 5I	For Official Use
PATENTS ACT 1983	APPLICATION NO.:
REQUEST FOR FEE FOR EXPEDITED EXAMINATION	Filing Date:
-	Request received on:
(Regulation 27E)	Fee received on:
To: The Registrar of Patent	Amagunt
Patents Registration Office Kuala Lumpur, Malaysia	Amount:
riddia Bampar, Malaysia	*Cheque/Postal Order/Money Order/Draft/ Cash No.:
	Date of mailing:

<sup>Delete whichever does not apply
\*\* Type name under signature and delete whichever does not apply</sup> 

Please submit this Form in duplicate together with the prescribed fee	Applicant's or Agent's file reference:				
I. IN THE MATTER OF:					
Patent Application No.: Filing Date:					
Certificate Application No.: Filing Date:					
II. APPLICANT:					
Name :					
Address :					
III. REQUEST:					
The applicant makes the payment for the expedited examination upon receipt of the Registrar's notification in accordance with subregulation 27E(5) of the Patents Regulations 1986.					
IV. ADDITIONAL INFORMATION accompanying this Form:					
Yes	No				
V. SIGNATURE					
<b>ἄ</b> ៦βlicant/A					
If Agent, indicate Agent's Registration No					

* **	Delete whichever does not apply Type name under signature and delete whichever does not apply				
11	11. The principal Regulations are amended in Schedule II by inserting after Form 21 the following form:				
I	Patents Form No. 22	For Official Use			
I	PATENTS ACT 1983	APPLICATION NO.:			
	STATEMENT JUSTIFYING THE	Filing Date:			
I	APPLICANT'S RIGHT TO A PATENT/CERTIFICATE Regulation 10)	Request received on:			
	The Desistance of Detect				
	To: The Registrar of Patent Patents Registration Office Kuala Lumpur, Malaysia	Date of mailing:			
I	Please submit this Form in duplicate	Applicant's or Agent's file reference:			
	I. IN THE MATTER OF:				

Patent Application No.: Filing Date:
Certificate Application No.: Filing Date:
II. TITLE OF INVENTION:
III. APPLICANT:
Name :
Address :
IV. I/we believe that the inventor(s)/innovator(s) of the above mentioned application is as follows:
V. Statement justifying the applicant's right to a patent/certificate*:
IV. ADDITIONAL INFORMATION accompanying this Form:
Yes No
VI. SIGNATURE
Apβlicant/Agent) (Date)

If Agent, indicate Agent's Registration No
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- \* Delete whichever does not apply
- \*\* Type name under signature and delete whichever does not apply

Made 11 February 2011 [KPDN(S)(U2)26/6/20; PN(PU2)410/VI]

> DATO' SERI ISMAIL SABRI BIN YAAKOB Minister of Domestic Trade, Cooperatives and Consumerism