

(ProvisionalTranslation)

Trademark Law*

(LawNo.127ofApril13,1959 , asamendedby LawNo. 220of December22, 1999)

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AttachedTable (RelatedtoSection76)

CHAPTERI GENERALPROVISIONS

Purpose

1. ThepurposeofthisLawshallbetoensurethemaintenanceofthebusiness reputationofpersonsusingtrademarksb yprotectingtrademarks, and thereby to contribute to the development of indu stryandtopr otecthein terests of consumers.

Definitions, etc.

- **2.**—(1)"Trademark"inthisLawmeanscharacters, figures, signs,three -dimensional shapesoranyco mbinationthe reof, oranycombination thereof with colors (hereina fter referred to asa"mark"):
- (i) which are used in respect of goods by a person who produces, certifies or assigns such goods in the course of trade;
- (ii)whichareusedinrespectofservicesbyap ersonwhoprovidesorcertifiessuch servicesinthecourseoftrade(otherthanasin(i)above).
- (2) ``Registered trademark'' in this Law means a trademark for which a trademark registration has been e ffected.
 - (3) "Use" with respect to a markinthis Law means any of the following acts:
 - (i)actsofapplyingthemarkonthegoodsortheirpackaging;
- (ii)actsofassigning,delivering,displayingforthepurposeofassignmentordelivery, orimpor ting,thegoodsonwhichoronthepackagingofwhichamar khasbeenapplied;
- (iii)actsofapplyingamarktoarticlesforusebypersonstowhomtheservicesare provided(i ncludingarticlesassignedorleased —hereinafterthesame)whenprovi ding services:
- (iv)actsofprovidingservicesbyuseofarticlesto whichamarkhasbeenappliedfor usebype rsonstowhomtheservicesareprovidedwhenprovidingser vices;
- (v)actsofdisplaying,forthepurposeofprovi dingservices,articlestowhichamark hasbeenappliedandsuppliedforuseintheprovisionof services(includingarticlesforuse bypersonstowhomtheservicesareprovidedwhenprovidingservices —hereinafterthe same);
- (vi)actsofapplyingamarktoarticlesrelatedtotheprovisionofsuchservices belongingtopersonstowhomtheservicesa reprovidedwhenprovidingservices;





- (vii)actsofdisplayingordistributingadver tisementsrelatingtothegoodsorservices, pricelistsorbusinesspaperswithrespecttothegoodsorari clesonwhichamarkhasbeen applied.
- (4)Actsofapplyinga marktogoodsorothera rticlestowhichamarkisappliedas prescribedintheprecedingsubsectionshallincludeactsofhavinggoodsortheirpackaging, articlesthataresuppliedforuseintheprovisionofservicesandadvertisementsrelatingto goodsorservicesshapedintoamark.
- (5)Inthislaw,itshallbepremisedthattheremayexistservicesinthescopeof similaritiesofgoodsandtheremayexistgoodsinthescopeofsimilaritiesofser vices.

CHAPTERII TRADEMARKREGISTRATI ONANDAPPLIC ATIONSTHEREFOR

RegistrabilityofTrademarks

- 3.—(1)Anypersonmayobtainatrademarkregistrationofatrademarktobeusedin respectofgoodsorservicesinconnectionwithhisbusiness, except in the case of the following trademarks:
- (i)trademarkswhich consistsolelyofamarki ndicating,inacommonway,the commonnameofthegoodsorservices;
 - (ii)trademarkswhicharecustomarilyusedinr espectofthegoodsorservices;
- (iii)trademarkswhichconsistsolelyofamarkindicatinginacommonway,t heorigin, placeofsale,quality,rawmaterials,efficacy,use,qua ntity,shape(includingpackaging shape)orpriceofthegoods,orthemethodortimeofmanufactu ringorusingthem;orthe locationofprovisionoftheservices,quality,articlesforu seinsuchprovision,efficacy,use, quantity,modes,priceormethodortimeoftheprovisionofservices;
- (iv)trademarkswhichconsistsolelyofamarki ndicating,inacommonway,a commonplacesurnameornameofalegalentity;
 - (v)trademarkswhich consistsolelyofaverysi mpleandco mmonplacemark;
- (vi)inadditiontothosementionedineachoftheprecedingparagraphs,trademarks whichdonote nableconsumerstorecognizethegoodsorservicesasbeingconnectedwitha certainperson'sbus iness.
- (2)Inthecaseofatrademarkfallingunderpar agraphs(iii)to(v)ofthepreceding subsection,where,asaresultoftheuseofsuchtrademarks,theconsumersareableto recognizethegoodsorservicesasbeingconnectedwithacertainpe rson'sbusin ess, trademarkregistrationmaybeo btainednotwithstandingtheprecedingsu bsection.

Unregistrable Trademarks





- **4.**—(1)NotwithstandingSection3,trademarkregistr ationshallnotbeeffectedinthe caseofthefo llowingtrademarks:
- (i)trademarkswhichar eidenticalwith,orsim ilarto,thenationalflag,theimperial chrysanthemumcrest,adecoration,amedalofmerit,oraforeignnationalflag;
- (ii)trademarkswhichareidenticalwithorsim larto,aStatecoatofarmsorother emblem(ot herthanana tionalflag)ofacountrypartytotheParisConvention(meaningthe ParisConventionfortheProtectionofIndustrialPropertyofMarch20,1883,asrevisedat BrusselsonDecember14,1900,atWashingtononJune2,1911,atTheHagueonN ovember 6,1925,atLondononJune2,1934,atLi sbononOctober31,1958andatStockholmonJuly 14,1967—hereinafterreferredtoas"theParisConvention"),aMemberoftheWorldTrade OrganizationoracontractingpartytotheTrademarkLawTreatywhichhavebeendesi gnated bytheMinisterofEconomy,TradeandIndustry;
- (iii)trademarkswhichareidenticalwith,orsimilarto,amarkindicatingtheUnited Nationsoranyotherinternationalorganizationanddesi gnatedbytheMinisterforEconomy, TradeandIndustry;
- (iv)trademarkswhichareidenticalwith,orsim ilarto,theRedCrossensignonawhite groundorthetitleRedCrossorGenevaCross;
- (v)trademarkscomprisingamarkidenticalwith,orsimilarto,anofficialsealorsign whichi ndicatessupervisionor certificationbytheGo vernmentofJapanorbythe GovernmentofacountrypartytotheParisConventionoraMemberoftheWorldTrade OrganizationoracontractingpartytotheTrademarkLawTreaty,orbyalocalpublice ntity andwhichhasbeendesigna tedbytheMinisterforEconomy,TradeandIndustry,whichare usedongoodsorservicesidenticalwith,orsim ilarto,thegoodsorservicesinrespectof whichsuchsealorsignisused;
- (vi)trademarkswhichareidenticalwith,orsim ilarto,afamous markindicatingaState oral ocalpu blicentityoranagencythereoforanon inthepublicinte est; ilarto,afamous markindicatingaState -profitorganizationorenterpriseworking
 - (vii)trademarksliabletocontravenepublicorderormorality;
- (viii)trademarkscontainingtheportraitof anotherpersonorthename,famous pseudonym,pr ofessionalnameorpennameofanotherpersonorthefamousabbreviation thereof(exceptwheretheconsentofthepersonconcernedhasbeeno btained);
- (ix)trademarkscomprisingamarkwhichisident icalwit h,orsimilarto,aprize awardedatane xhibitionheldbytheGovernmentoralocalpublicentity(hereinafterreferred toasthe"Government,etc.")oratonewhichisnotheldbytheGover nment,etc.buthasbeen designatedbytheCommis sionerofthePa tentOfficeorataninternationalexhibitionheldina foreigncountrybyitsgo vernment,etc.orapersonauthorizedthereby(e xceptwherethe recipientofsuchaprizeusesthemarkaspartofhistrad emark);





- (x)trademarkswhicharewellknownamongc onsumersasindicatingthegoodsor servicesasb eingconnectedwithanotherperson'sbusiness, and trademarks similar thereto, and which are used in respect of such goodsors ervices or similar goodsors ervices;
- (xi)trademarkswhichareidenticalwith, orsim ilarto,anotherperson's registered trademarka ppliedforpriortothefilingdateofthetrad emarkapplication concerned and which are used on the designated goods or designated services [mea ningthegoods or services designated in accordance wit Section 6(1) (including its application under Section 68(1)) herein after referred to as "the designated goods or designated services"] covered by the trademark registration referred to or on similar goods or services;
- (xii)trademarkswhichareidentical withanotherperson's registereddefensive mark (meaning amarkregistered as a defensive mark hereinafter referred to as a "registered defensive mark"), and which are used on the designated goods or desi gnated services covered by the defensive markregistration;
- (xiii)trademarkswhichareidenticalwithanotherperson'strademark(otherthana trademarkwhichhadnotbeenusedbythatpersonduringaperiodofatleastoneyearpriorto thedayonwhichthetrademarkrightbecameextinguished)whereone yearhasnotelapsed sincethedateofextinguis hmentofthetrademarkright(orthedateonwhicharulingthata trademarkregistrationistoberevo kedoratrialdecisionthatatrademarkregistrationistobe invalidatedbecomesfinalandconclusive —hereinafterreferredtoasthe"dateof extinguishmentofthetrademarkright"),orwithatrademarksimilartosuchatrademark,and whichareusedinrespectofthedesignatedgoodsordesignatedservicescoveredbythe trademarkrightorinrespectofs imilargoodsorser vices;
- (xiv)trademarkswhichareidenticalwith,orsimilarto,thenameofavarietyregistered underSection1 8(1)oftheAgriculturalSeedandSeedlingsLaw(LawNo. 83to19 98),and whichareusedontheseedsorseedlingsofthev arietyco ncernedorinr espectofsimilar goodsorservices;
- (xv) trademarks which are liable to cause conf usion with goods or services connected with another person's business (other than the trademarks me ntioned in paragraphs (x) to (xiv));

(xvi)trade marksliabletobemisleadingastothequalityofthegoodsorservices;

(xvii)trademarkscomprisingamarkindicatinganoriginofwinesorspiritsinJapan whichhasbeendesignatedbytheCommissionerofthePatentO fficeoramarkindicatingan originofwinesorspiritsinaMemberoftheWorldTradeOrganiz ationprohibitedtobeused onwinesorspiritsnotoriginatingintheregioninthatmember, whichareusedinrespectto winesorspiritsnotorig inatingintheregioninJ apanorthatmember;

(xviii)trademarksconsistingsolelyofathree -dimensionalshapeofgoodsortheir packagingwiththeshapebeingindispensabletosecurethefun ctionsofthegoodsortheir packaging;





- (xix)trademarkswhicharewellknownamongco nsumersinJapanorab roadas indicatingthegoodsorservicesasbeingconnectedwithanotherpe rson'sbusiness, and trademarksidenticalwithorsimilarthereto, and which are used by the appl icantforunfair intention(intentiontogainanunfairprofit,intentiontocaused amagetosuchanotherperson andotherunfairintentions —hereinafterthesame)(otherthanthetrademarksme ntionedin eachoftheprecedingparagraphs)inr espectofsuchgoodsorservices.
- (2)Whereregistrationofatrademarkfallingu nderparagraph(v i)ofthepreceding subsectionisappliedforbytheState,alocalpublicentityoranagencythereoforanon -profit organizationworkinginthepublicinterestorapersoncarr yingonanon -profitenterprise workinginthepu blicinterest, the saidpara graphshall nota pply.
- (3)Inthecaseofatrademarkfallingunderpar agraphs(viii),(x),(xv),(xvii)or(xix)of Subsection (1), the respective provisions shall not apply where the trade mark does not fallundertherespectiveparagraphatthetimewhe nthetrad emarkappl icationisfiled.
- (4)Whereatrialdecisionthatatrademarkregi strationistobecanceledunderSection 53bishasbecomefinalandconclusiveandthedemandantinthetrialappliesforregistration ofthetrad emarkcoveredbythere gistrationcanceledbythetrialdecision,oratrademark similarthereto,paragraph(xiii)ofSubsection(1)shallnota

ApplicationsforTrademarkRegistr ation

- **5.**—(1)Anypersondesiringatrademarkregistrationshallsubmitarequesttothe Commissioner of the Patent Office together with any necessary document of the Patent Office together with a patent of the Patent Office together withument;therequestshall statethefollowing:
 - (i)thenameandthedomicileorresidenceoftheapplicantforatrademarkregistr ation;
 - (ii)thetrademarkforwhichregistrationissought;
- (iii) hedesignated goods or designated services and the class of goods or services as prescribedbyCab inetOrderreferredtoinSection6(2).
- ationwithrespectofatrademark (2) Whereapersondesires at rademark registr consisting of three -dimensionalsh apes (including their combin ation with characters, figures, signsorcolorsoranycombinationthereof, hereinafterreferred to as "three dimensional trademark"), the requests hall contain a statement to that effect.
- registr ationwithrespectofatrademark (3)Whereapersondesiresatrademark consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of the Patent Office (herein after a consisting of characters design at ed by the Commissioner of characters design at edge at edgreferredtoas"sta ndardcharacters"),therequestshallcontainastatementtothateffect.
- (4)Intheportionofthestat ementofthetrad emarkforwhichtheregistrationissought, anypartwhichisinthesamecolorasasectionforatrademarktobestatedshallbedeemed nottoformpartofthetrademark. However, this provisions hall not apply where an area to be coloredisspecified and it is stated on the sheets that the color to be applied is the same as that ofthesection.



AccordingoftheFilingDate,etc.

- **5bis.**—(1)TheCommissionerofthePatentOfficeshalldecidetoaccordasthedateof atrademarkappl icationthedateofsubmissionoftherequestwithrespecttothetrademark applicationunlesstheapplicationfallsunderanyofthefollowingpar agraphs:
 - (i)theindicationthatatrademarkregistrationissoughtisnotclear;
- (ii)thenameoftheapplicant is not stated, or the statement is not considered sufficient to the extent to enable the identification of the applicant;
 - (iii)atrademarkforwhichtheregistrationissoughtisnotstated;or
 - (iv)designatedgoodsordesignatedservicesarenotstate d.
- (2)Wherethetrademarkapplicationfallsunderanyoftheparagraphsofthepreceding subsection,theCommissionerofthePatentOfficeshallinvitetheapplicanttomakethe requiredcorrectioninwriting,designatinganadequatetimelimit.
- (3)Cor rectiontoatrademarkapplicationshallbeeffectedbyfilingacorrectionin writingwithrespecttothecorrection(hereinafterreferredtoasa"correctioninwriting").
- (4)TheCommissionerofthePatentOfficeshalldecidetoaccordasthedateoft he trademarka pplicationthedateofsubmissionofthecorrectioninwritingwhentheperson whomhehasinvitedtomakethecorrectionunderSubsection(2)hasco mpliedwiththe invitationwithinthetimelimitdesignatedinaccordancewiththatsubse ction.
- (5)TheCommissionerofthePatentOfficemaydi smissthetrademarkapplication whenapersonwhomhehasinvitedtomakethecorrectioninacco rdancewithSubsection(2) failstodosowithinthetimelimitdesignatedinaccordancewiththatsubse ction.

UnityinApplication

- $\textbf{6.} \hspace{-0.1cm} \textbf{--} (1) A napplication for a trade mark registration shall relate to a single trade mark and shall designate one or more items of goods or services in respect of which the trade mark is to be used.$
- (2)Adesignationundertheprecedin gparagraphshallbemadeaccordingtotheclasses oftheclassificationofgoodsandservices,prescribedbyCabinetO rder.
- (3)Theclassesofgoodsandservicesreferredtointheprecedingsubsectionshallnot bedeterm inativeofthescopeofsimilarit yofgoodsorservices.

CollectiveTrademarks

7.—(1)AggregatecorporationestablishedundertheprovisionofSection34ofthe CivilCode(LawNo.89of1896)orindustrialbusinesscorporativea ssociationandother associationsestablishedunderthespe ciallaw(excludingthosewhicharenotl egalentities),





orforeignlegalentitiescorr espondingtheretoshallbeentitledtoobtainacollectivetrademark registrationwithrespectofatrademarkforusebytheirmembers.

- (2)Forthepurposesoftheprov isionofSection3(1), "hisbusiness" inthatsectionshall read "theirme mbers' or the irown business."
- (3) Anypersondesiring the registration of a constitution of the Patent Office with respect to a trademark application under Section 5(1) adocument proving that the applicant is a legal entity referred to Subsection 1.

First-to-FileRule

- **8.**—(1)Wheretwoormoretrademarkapplicationsr elatingtoidenticalorsimilar trademarkswhicharet obeusedonidenticalorsimilargoodsorservicesarefiledondifferent dates,onlytheearliestapplicantmayobtainatrademarkregi strationforthetrademark concerned.
- (2)Wheretwoormoretrademarkapplicationsr elatingtoidenticalorsimilar trademarkswhicharetobeusedonidenticalorsimilargoodsorservicesarefiledonthesame date,onlyonea pplicant,agreeduponaftermutualconsultationamongalltheapplicants,may obtainatrademarkregistrationforthetrademark.
- (3)Whereatrad emarkapplicationisasur rendered,withdrawnordismissedorwhere anexaminer'sd ecisionortrialdecisiononatrademarkapplic ationhasbecomefinaland conclusive,suchappl icationshall,forthepurposesofthetwoprece dingsubsections,be deemedne vertohavebeenmade.
- (4) The Commissioner of the Patent Office shall, in the case of Subsection (2), order the applicant stohold consultations for an agreement under that subsection and to report the result thereof, within an adequate time limit.
- (5) Wherenoagreementisreachedintheconsult ationsunderSubsection(2)orwhere thereportu ndertheprecedingsubsectionisnotmadewithinthetimelimitdesignatedin accordancewiththatsubsection,registrationofthetrademarkconcer nedmaybeobtai ned onlybyoneapplicantchosenbythedrawingoflotsconductedinafairandjustmannerbythe CommissionerofthePa tentOffice.

SpecialProvisionsforTimeofFilingofA ppliation

9.—(1)Inthecaseofatrademarkusedinrespectofgoodsexhibited orservicesoffered atanexhib itionheldbytheGovernment,etc. —oratonewh ichisnotheldbytheGovernment,etc.buthasbeendesignatedbytheCommissionerofthePatentOffice,oratan internationalexhibitionheldbytheGovernment,etc.oraper sonauthorizedther ebyinthe territoryofacountrypartytotheP arisConventionoraMemberoftheWorldTrade OrganizationoracontractingpartytotheTrademarkLawTreaty,orataninternational exhibitionheldbytheGovernment,etc.orapersonau thorizedtherebyintheterritoryofa





countrywhichisn eitherapartytotheParisConventionnoraMemberoftheWorldTrade OrganizationoracountrypartytotheTrademarkLawTreaty,butwhichhasbeendesignated bytheCommissionerofthePatentO ffice—,providedthatthepersonwhoexhibitedthesaid goodsofferedsaidserviceshasappliedforatrademarkregistration,designatingthosegoods orservices,withinsixmonthsfromthedatewhentheywereexhibitedoroffered,the trademarka pplicationshallbedeemedtohavebeenfiledatthetimewhenthegoodswere exhibitedortheserviceswereoffered.

(2)Anypersonwhodesirestheapplicationoftheprecedingsubsectionwithrespectto atrademarkinatrademarkapplicationshallsubmitawritte nstatementtothateffecttothe CommissionerofthePatentOfficesimultaneouslywiththetrad emarkapplication.Within30 daysfromthefilingofthetrademarkapplication,heshallalsosubmittotheCommissionerof thePatentOfficeadoc umentprovin gthatthetrademarkandthegoodsorservicesinthe trademarkapplicationareatrad emarkandgoodsorservicesfallingunderthesaidsu bsection.

Priority Claim Declared as Governed by the Paris Convention

9bis. Apriorityclaimbasedonanapplication fortrademarkregistration(limitedtoa trademarkequivalenttothatdefinedinSection2(1)(ii))filedinorforacountrypartytothe ParisCo nventionmaybedeclaredasgovernedbytheprov isionsinArticle4oftheParis Conventionforaprioritycl aimbasedontheapplicationfortrad emarkregistrationofthe trademarkequivalenttothatdefinedinSection2(1)(i).

9ter. Apriority claimbased on an application which aperson specified in the left column of the following table has filed in or for any country specified in the right column of the following table may be declared as governed by the priority ovision in Article 4 of the Paris Convention:

Japanesenationalsornationalsofa	MemberoftheWorldTrade
countrypartytotheP arisConvention	Organizationoracontractingpartytothe
(includingnationalsd eemedtobethe	TrademarkLawTreaty.
nationalsofthecou ntrypartyinacco rdance	
withArticle3oftheParisConve ntion).	
N (* 1 C M 1 C1 XV 11	C + + + 1 D · C + ·
NationalsofaMemberoftheWorld	CountrypartytotheParisConvention,
TradeOrganization(meaning nationalsof	MemberoftheWorldTradeO rganizationor
Membersprovidedforinparagraph3of	acontractingpartytotheTrademarkLaw
Article1oftheAnnex1CtotheMarrakesh	Treaty.
AgreementEstablishingtheWorldTrade	
Organization) or nationalsof acontracting	
partytotheTrademarkLawTreaty.	





AmendmentofDesignatedGoods,etc ortheTrademarkforwhichTrademarkRegistrationisSought andChangeofGist

9quater.Where,afterregistrationoftheestablish mentofthetrademarkright,itis foundthatanamendmentofthedesignatedgoodsordesignatedservicesstatedintherequest orthetrademarkforwhichregistrationissoughtmade,haschangedthegistthereof,the trademarkapplicationshallbedeemed tohavebeenfiledatthetimewhentheamendmentin writingwassubmi tted.

Division of Trademark Applications

- **10.**—(1)Anapplicantforatrademarkregistrationmaydividea partofhis application fortrademarkregistration designatingtwoormoreitems ofgoodsorservicesasdesignated goodsordesigna tedservicesintooneormorenewtrademarkappl icationsprovidedthatthe trademarkapplicationispendinginexamination,trialexaminationorr etrialexaminationor thatasuitagainstatrialdecision torefusethetrademarkapplicationispendingincourt.
- (2)Whereatrademarkapplicationhasbeendividedundertheprecedingsubsection, the newtrademarkapplicationshallbedeemedtohavebeenfiledatthetimeoffilingofthe originalapplication. However, this provision shall not apply for the purposes of Section 9(2) of this Lawand Section 43(1) and (2) of the Patent Law (Law No. 121 of 1959) as applied under Section 13(1) of this Law (including its application under Section 43 bis (3) of the Patent Lawas applied under Section 13(1) of this Law).
- (3) Where thenewapplicationfortrademarkregi strationunderSubsection(1)isfiled, anystat ementsor documents whichhavebeen submitted withrespectto theoriginal applicationfortrademarkregis trationand whichshallbesubmitted withr especttothenew applicationfortrademarkregi strationinaccordancewith Section9(2)ofthisLaworSection 43(1)and(2)ofthePatentLawasappliedunderSection13(1)ofthisLaw (includingits applicationunderSection43 *bis*(3) ofthePa tentLaw asappliedunderSection13(1)ofthis Law), shallbedeemedtohavebeensubmittedtotheCommissionerofthePatentOffice simultaneouslywiththesaidnewapplicationfortrademarkregi stration.

Conversion of Aplications

- **11.**—(1)Anapplicantmayconverthisapplicationforregistrationofacollective trademarkintoana pplicationforregistrationofanindividualtrad emark(meaninga trademarkapplicationotherthananapplicationforregistrationofacollect ivetrademark—hereinafterreferredtoasan"appl icationforregistrationofanindividualtrad emark").
- $(2) An applicant may convert his application for registration of an individual trademark into an application for registration of a collective trademark \\ .$
- (3)Atrademarkapplicationmaynotbeconvertedunderthetwoprecedingsubsections afterthee xaminer'sdecisionorthetrialdecisionwithr especttotheapplicationhasbecome finalandco nclusive.





- (4) Where the conversion of a trademark application at ion under Subsection (1) or (2) has been made, the original trademark application shall be deemed to have been with drawn.
- (5) Section10(2) and (3)shallapply *mutatismutandis* totheconversionofatrademark applicationunderSubsection(1)or(2).
- **12.**—(1)Anapplicantmayconverthisapplicationforregistrationofadefensivemark into an application for trademark registration.
- (2)Anapplicationmaynotbeconvertedundertheprecedingsubsectionafterthe examiner'sdecisionorthetrialdecisionwit hrespecttotheapplic ationforregistrationofa defensivemarkhasb ecomefinalandconclusive.
- (3) Sections 10(2) and (3) and 11(4) shall apply *mutatismutandis* to the conversion of an applic ation under Subsection (1).

Publication of Applications

- **12**bis.—(1) Whenatrademarkapplicationhasbeenfiled,theCommissionerofthe PatentOfficeshallpu blish theapplication.
- (2) Thepublicationoftheapplicationfortrad emarkregistrationshallbeeffectedby publishingthefollowingparticularsintheTr ademarkGazette(ShohyoKoho).However,this provisionshallnotapplytotheparticularsreferredtoinParagraphs(iii)and(iv)wherethe CommissionerofthePa tentOfficerecognizesthatthepublicationofth oseparticularsinthe TrademarkGazetteis liabletocontravenepublico rderormorality:
 - (i) thenameandthedomicileorresidenceoftheapplicantforatrademarkregistr ation;
 - (ii) thenumberanddateoftheapplicationforatrademarkregistration;
- (iii) thecontentsofthetrademarkstate dintherequest(represented in the standard characters as applicable under Sections 5(3), herein after referred to in Sections 18(3) (iii) and 27(1) as "the trademark stated in the request");
 - (iv) thedesignatedgoodsordesignatedservices;
 - (v) otherne cessaryparticulars.

Application mutatismutandis of Patent Law

13.—(1)Sections43(1)to(4)and43 bis(2)and(3)ofthePatentLawshallapply mutatismutandis totrademarkapplications.Insuchacase, "withinoneyearandfourmonths from the earlies to tate among the dates given in each of the following paragraphs "in Section 43(2) of the Patent Lawshall read "within three months from the filing date of the trademark application" and "ora Me mber of the World Trade Organization" in Section 43 bis(2) of the Patent Lawshall read "a Member of the World Trade Organization or a rtyto the Trademark Law Treaty", "the nation of a Member of the World Trade Organization" in the same paragraphshall read "the nation of a Member of the World Trade Organization or rganization or radiation or radiation or radiation or radiation or read to the same paragraphshall read "the nation of a Member of the World Trade Organization" in the same paragraphshall read "the nation of a Member of the World Trade Organization" in the same paragraphshall read "the nation of a Member of the World Trade Organization" in the same paragraphshall read "the nation of a Member of the World Trade Organization" in the same paragraphshall read "the nation of a Member of the World Trade Organization" in the same paragraphshall read "the nation of a Member of the World Trade Organization" in the same paragraphs and the same paragraphs and the same paragraphs and the same paragraphs and the same paragraphs are same paragraphs.





then at ion of a contracting party to the Trademark Law Treaty" and "Subsection (1) or (2)" in Section 43 bis (3) of the Patent Law shall read "Subsection (1)" .

(2)Sections33and34(4)to(7)(righttoobtainpatent)ofthePatentLawshall apply *mutatismutandis* totherightsderivingfromatrademarka pplication.

MoneyClaim,etc.PriortoRegistr ationofEstablishmentofTrad emarkRight

- **13bis.**—(1) Whereanyapplicantfortrademarkregistrationhas, afterfilingofhis application, give a warning by showing documents bearing the contents relating to the said application, hemay claim, against person who has used the trademark in his application with respect to the designated goods or designated services in his application, the payment of money equivalent to his business loss caused by such use made after the warning and before the registration of the establishment of the trademark right concerning the designated goods or designated services relating to the said application.
- (2) Therightun dertheprecedingsubsectionmaynotbeexerciseduntilafterthe establishmentofthetrademarkrightisregi stered.
- $(3) \ The exercise of the right under Subsection (1) shall not preclude the exercise of the trade mark right.$
- (4) Whereatrademarkapplica tionhasbeensurre ndered, withdrawnordismissed, or wheretheexa miner's decision or atrial decision that the trademarkapplication is to be refused has become final and conclusive, or where the ruling tor evoke the trademark registration under Section 43 ter(2) has become final and conclusive, or where, with exception of the cases coming within the provisor of Section 46 bis(1), atrial decision that the trademark registration is to be invalidated has become final and conclusive, the right under Subsection (1) shall be deemed never to have a rise and the registration of the cases of the registration of the cases of the registration of the cases of the registration of the reg
- (5) Sections27 and 37ofthisLaw and Sections105,105 *bis*and106ofthePatentLaw asappliedunderSection 39ofthisLaw ,andSections719and724(tort)oftheCivilCode shallapply *mutatismutandis* to thee xerciseoftherightunderSubsection(1).Insuchacase, whereapersonhavingtherighttoclaimhasbecomeaware,beforetheregistrationofthe establishmentofthetrademarkright,ofthefactoftheuseofthetrademarkrelatingtothesaid trademarkapplicationandofthepersonusingthetrademark, "thetimewhentheinjuredparty orhislegalrepresentativebecameawareofsuchda mageandofthepersoncausingit "in Section724ofthesaidCodeshallread "thedateofthere gistrationoftheest ablishmentofthe patentright".

CHAPTERIII THEEXAMINATION

ExaminationbyExaminer

 $\textbf{14.} \ \ The Commissioner of the Patent Offices hall have applications for trademark registration examined by an examiner.$



Examiner's Decision of Refusal

- **15.** The examinershallmake a decision that a trade where it falls under any of the following par agraphs:
- (i)thetrademarkinthetrademarkapplicationisnotregistrableinaccordancewith Section3,4(1),8(2)or(5),51(2)(includingits applicationu nderSection52 *bis*(2))or53(2)of thisLawofSe ction25ofthePatentLawasappliedunderSection77(3)ofthisLaw;
- (ii) the trade mark in the trade mark application is not registrable in accordance with the provisions of a treaty;
- (iii)t hetrademarkapplication does not comply with the requirements of Section 6(1) or (2).

Notification of Reasons for Refusal

- **15**bis. When the examiner intends to render a decision that an application is to be refused, he shall notify the applicant for the transfer ademark registration of the reasons for refusal and give him an opportunity to submit a statement of his arguments, designating an adequate time limit.
- **15ter.**—(1)Whereatrademarkforwhichtheregistrationissoughtinatrademark applicationisatrad emarkwhichareidenticalwith,orsimilarto,anotherperson'strademark appliedforpriortothefilingdateofthetrademarkapplicationco ncernedandwhichareused onthedesignatedgoodsordesignatedservicescoveredbythetrademarkreferredtooro n similargoodsorservices,theexaminermaynotifytheapplicantthathistrad emark applicationmayfallunderSection15(i)iftheotherparty'strademarkisregistered,andgive himanopportunitytosubmitastatementofhisarguments,designatingan adequatetime limit.
- (2)Whereanotificationreferredtointheprecedingsubsectionhasbeenservedandthe otherapplicant'strademarkisregistered,theexaminershallnotberequiredtoservea notificationreferredtointheprecedingsection.

Examiner'sDecisionthataTrademarkistobeRegi stered

16.Wheretheexaminerfindsnoreasonforrefusing at rademark application within the timelimit prescribed by Cabinet Order, he shall render a decision that a trademark is to be registered.

Decliningo fAmendments

16bis.—(1) Whereanamendmenttothedesignatedgoodsordesignatedservices stated in the requestor the trademark for which registration is sought would change the gist thereof, the examiner shall ecline the amendment by aruling.





- (2) The ruling to decline an amendment under the preceding subsections hall be in writing and state there as on sthere for.
- (3)WherearulingtodeclineanamendmentunderSubsection(1)hasbeenrendered, theexaminer'sdecisionwithrespecttothetrademarkapp licationshallnotberenderedbefore theexpirationof30daysfromthetransmittalofthatruling.
- (4)WhereanapplicanthasdemandedatrialunderSection45(1)againstarulingto declineanamendmentunderSubsection(1),theexaminershallsuspend theexamination of thetrademarkapplic ationuntilthetrialdecisionhasbecomefinalandconclusive.

Application mutatismutandis of Patent Law

17. Section47(2)(qualificationsofexaminers),Se ction48(exclusionofexaminers), Section52(fo rmalrequ irementsofdecision)andSection54(r elationshipwithlitigation)of thePatentLawshallapply *mutatismutandis* totheexaminationoftrademarkapplic ations.

Application mutatismutandis of DesignLaw

- **17bis.**—(1)Section17 *ter*(newapplicationfordesig nasamended)oftheDesignLaw (LawNo.125of1959)shallapply *mutatismutandis* tothecasewhereanamendmentis declinedbyarulingunderSection16 *bis*(1).
- (2)Section17 *quater* of the Design Lawshall apply *mutatismutandis* to the extension of the timelimit prescribed in Section 17 *ter* (1) as applied under Subsection (1) or Section 55 *bis* (3) (including its application under Section 60 *bis* (2)).

CHAPTERIV THETRADEMARKRIGHT

1. The Trademark Right

Registration of Establishment of Trademark Right

- **18.**—(1)Atrademarkrightshallcomeintoforceuponregistrationofitsestablis hment.
- (2) The establishment of a trademark right shall be registered when the registration fee under Section 40(1) or the registration feedue to be paid within 30 days from the days from the transmittal of the examiner's decision or the trial decision that the trademark is to be registered under Section 41 bis (1) has been paid.
- (3)Uponregistrationundertheprecedingsubse ction,thefollowingparticularsshallbe publishedinthe TrademarkG azette:
 - (i)thenameandthedomicileorresidenceoftheownerofthetrademarkright;
 - (ii)thenumberanddateofthetrademarkapplic ation;





- (iii)thecontentsofthetrademarkstatedinther equest;
- (iv)thedesignatedgoodsordesignated services;
- (v)theregistrationnumberanddateoftheregi strationoftheestablis hment;
- (vi)othernecessaryparticulars.
- (4)Duringtwomonthsafterthedateofthepubl icationoftheTrademarkGazettewhich statesthematterreferredtoineachpara graphoftheprecedingsubsection(hereinafter referredtoas"theGazettecontainingthetrademark"),theCo mmissionerofthePatentOffice shallmakethea pplicationfilesandtheirattachmentsavailableforpublicinspectioninthe PatentOffice.Ho wever,thisprovisionshallnotapplytodocumentsorarticlesliabletoinjure thereputationorpeacefulexistenceofanindividualordocumentsandarticlesliableto contravenepublicorderormorality,whichtheCommissionerofthePatentO fficeconsider sit necessarytokeepsecret.
- (5)WheretheCommissionerofthePatentOfficeistolayopenforpublicinspection documentsora rticlesotherthanthoseliabletoinjuretherep utationorpeacefulexistenceof anindividualordocumentsorarticlesliab letocontravenepublicorderormorality,whichthe CommissionerofthePatentOfficeconsidersitnecessarytokeeps ecret,theCommissioner shallnotifyapersonwhohassubmittedthedocumentsorarticlestothateffectwithareason therefor.

Termof TrademarkRight

- **19.**—(1)Thetermofatrademarkrightshallbetenyearsfromthedateofregistration of itsestablishment.
- (2) The term of a trade mark right may be renewed by a request for registration of renewal.
- (3) When renew al of the term of the total and the term of the t

RequestforRegistrationofRenewalofTerm

- $\textbf{20.} \hspace{-0.1cm} \hspace{-0.1cm} \textbf{(1)} Any person desiring the registration of ar enewal of the term of a trademark right shall submit a request for renewal to the Commissioner of the Patent Office stating the following:$
 - (i)thenameandthedomicileorresidenceofther equester;
 - (ii)theregistrationnumberofthetrademarkrgis tration;
- (iii)othermattersfixedbyanordinance oftheMi nistryofEconomy,Tradeand Industry.

f





- (2) Arequestforregistration of renewal shall be made within six months prior to the date of expiration of the term.
- (3)Wheretheownerofatrademarkrightisunabletomakearequestforregistrationo renewalwithinthetimelimitprescribedintheprecedingsubsection,hemaymakesuch requestbelatedlywithinsixmonthsfromtheexpirationofthattimelimit.
- (4)Wherearequestforregistrationofrenewalisnotmadewithinthetimelimit allowing its owner to make such request under the preceding subsection, the trademark right shall be deemed to have been extinguished retroactively from the time of the expiration of the term.

Restoration of Trademark Right

- **21.**—(1)Wherethetrademarkrightison ewhichwasdeemedtohavebeen extinguishedunderSection20(4)andtheowneroftheextinguishedtrademarkrightisunable tomakearequestforregistrationoftherenewalwithinthetimelimitallowinghimtomake suchrequestunderSection20(3)duet oreasonsoutsidehiscontrol,hemaymakesuch requestwithin14days(whereheisaresidentabroad,twomonths)fromthedateonwhichthe reasonsceasedtobeapplicablebutnotlaterthansixmonthsfollowingtheexpirationofsaid timelimit.
- (2) We have quest for registration of renewal is made under the preceding subsection, the terms hall be deemed to have been renewed retroactively from the time of the expiration of the term.

RestrictiononEffectsofTrademarkRightR estored

- **22.** Theeffectsof thetrademarkrightrestoredunderSection21(2)shallnotextendto thefollowingactsaftertheexpirationofthetimelimitreferredtoinSection20(3)forthe requestforregistrationofrenewalofthetermofthetrad emarkrightbutbeforethe registrationismadetotheeffectthatthetermhasbeenrenewedbytherequestmadeunder Section21(1):
- (i)theuseoftheregisteredtrademarkwithr especttothedesignatedgoodsor designatedser vices; and
 - (ii) theactsmentionedineachparagraphofS ection 37.

RegistrationofRenewalofTerm

- **23.**—(1)WhentheregistrationfeeunderSection40(2)ortheregistrationfeeduetobe paidatthetimeofarequestforregistrationofrenewalunderSection41 bis(2)hasbeenpaid, therenewalofthetermoft hetrademarkrightshallberegi stered.
- (2) Not with standing the provision of the preceding subsection, where are questismade for registration of renewal under Section 20(3) or Section 21(1), the registration to the effect





thatthetermofthetrademark righthasbeenrenewedshallbemadewhenthepaymenthas beenmadefortheregistrationfeeunderSection40(2) and there gistrationfeewith a surchargeunderSection43(1) or the registration feedue to be paid at the time of the request for registration of renewal under Section41 bis(2) and the registration feewith a surchargeunderSection43(2).

- (3)Uponregistrationundertheprecedingtwosu bsections,thefollowingparticulars shallbepu blishedintheTrademarkG azette:
 - (i) thenameandthedomi cileorresidenceoftheownerofatrad emarkright;
 - (ii) theregistrationnumberanddateofthere gistrationofrenewal; and
 - (iii)othernecessaryparticulars.

Division of Trademark Right

- **24.**—(1)Wheretherearetwoormoreitemsofthede signatedgo odsordesignated services, atrademarkrightmaybedividedintoeachs uchitem.
- (2)Thedivision of a trademark right under the preceding subsection may be, in the case where a trialised manded with respect to it under Section 46(2), applied for even a the extinguishment of the trademark right only during the pendency of the case in the trial or retrial examination or a litigation.

TransferofTrademarkRight

- **24***bis.*—(1)Wheretherearetwoormoreitemsofthede signatedgoodsordesignated services, atrademarkrightmaybetransferredseparatelyforeach such item.
- (2)Atrademarkrightunderatrademarkapplic ationfiledbytheStateoralocalpublic entity,oranagencythereof,oranon -profitorganizationworkinginthepublicinterest, referredtoinSection4(2),maynotbeassigned.
- (3)Atrademarkrightunderatrademarkapplic ationfiledbyapersoncarryingona non-profitenterpriseworkinginthepublicinterest,referredtoinSection4(2),maybe transferredonlytogetherwiththe enterpriseitself.

Transfer of Collective Trademark Right

- **24ter.**—(1)Whenacollectivetrademarkrightistran sferred,thecollectivetrademark shallbedeemedtohavebeenconvertedtoanindividualtrademarkright,exceptasprovided inthefollowingsu bsection.
- (2)Whentheownerofacollectivetrademarkd esirestotransferhiscollective trademarkright,heshallsubmitastatementtothateffectandadocumentunderSection7(3) totheCommissionerofthePatentOfficeatthetimeofanapplication forregistrationofthe transfer.





DemandforIndicationtoPreventConf usionResultingfromTran sferofTrademarkRight

24*quater*. Where, as a result of the transfer of a trade mark right, the trade mark right to asimilarregisteredtrademarkthatisusedf oridenticalgoodsorservicesortheidenticalora similarregisteredtrademarkthatisusedforsimilargoodsorserv icescomestobelongtoa differentperson, and where the use of such registered trademark by the owner of a trademark rightortheowne rofarightofexclusiveuseorofnon -exclusiveuserelatingtooneregistered trademarkforitsdesignatedgoodsordesignatedservicesislikelytocausedamageto businessinterestsoftheownerofatrademarkrightortheownerofarightofexcl usiveuse relatingtotheotherregisteredtrad emark(limitedtothebusinessinterestsconcerningthe designatedgoodsordesignatedservicesforwhichtheotherregisteredtrademarkisused), the ownerofatrademarkrightortheownerofarightofexclusiv euserelatingtotheother registeredtrademarkmayrequesttheownerofthetrademarkrightortheowneroftherightof -exclusive user elating to the one registered trademark toexclusiveuseortheownerofnon markasuitableind icationintheusethe reofsoastopreventanyconfusionbetweenthegoods orservices connected with the other's business and those connected with the own business.

EffectsofTrademarkRight

25. Theownerofatrademarkrightshallhaveane xclusiverighttousetheregiste red trademarkwithrespecttothedesignatedgoodsordesignatedservices. However, where the trademarkrightissubjecttoarightofexclusiveuse, this prove extent that the owner of that right has an exclusive right to use to red trademark right to use the red is in the register of the red is in the register of the red is in the register of the red is in the red is in the register of the red is in the register of the red is in th

LimitsofTrademarkRight

- **26.**—(1)Theeffectsofthetrademarkrightshallnotextenttothefollowingtrademarks (includingth osewhichconstitutepartofothertrademarks):
- (i)trademarksindicating,inacommonway,one'sown pseudonym,professionalnameorpennameorafamousabbr pseudonym,professionalnameorpennameorafamousabbr portrait,name,famous
- (ii)trademarksindicating,inacommonway,thecommonname,origin,placeofsale, quality,rawmaterials,efficacy,use,quantity,shape(inclu dingpackaingshapehereinafter referredtointhefollowingparagraphas "shape")orpriceorthemethodortimeof manufacturingorusingthede signatedgoodsconcernedorgoodssimilartheretoorthe commonnameofservicessimilartothede signatedgoods,locati onofprovisionofthe services,quality,articlesforuseinsuchprovision,efficacy,use,quantity,modes,price,or methodsortimeofsuchprovision;
- (iii) trademarksindicating,inacommonway,thecommonnameofdesignatedservices orservicessim ilarthereto,locationofprovisionoftheservices,quality,articlessuppliedfor useinsuchprovision,efficacy,use,quantity,modes,price,ormethodortimeofsuch provisionorthecommonname,origin,placeofsale,quality,rawmaterials,efficac y,use, quantity,shapeorprice,ormethodortimeofmanufacturingorusingthegoodssimilartothe designatedservices;





- (iv) trademarkscustomarilyusedonthedesignatedgoodsordesignatedservices,or goodsorservicessimilarthereto;
- (v)tradema rksconsistingsolelyofathree -dimensionalshapeofgoodsortheir packagingwiththeshapeindispensabletosecurethefunctionsofthegoodsortheir packaging.
- (2) Paragraph(i)oftheprecedingsubsectionshallnotapplywhere,afterregistration of the establishment of the trademark right, one's own portrait, name, famous pseudonym, professional name or penname or a famous abbreviation thereof has been used with the intention of violating the rules of fair competition.

Scope of Registered Trademark, etc.

- **27.**—(1)Thescopeofaregisteredtrademarkshallbedecidedonthebasisofthe trademarkstatedinther equest.
- (2) Thescopeofthedesignatedgoodsordesigna tedservicesshallbedecidedonthe basisofthestat ementintherequest.
- **28.**—(1) ArequestforinterpretationmaybemadetothePatentOfficewithrespectto theeffectsofatrad emarkright.
- (2) Wheresucharequestismade, the Commissioner of the Patent Officeshall designate three trial examiners to give the requested interpretation.
- (3) Section71(3)and(4)ofthePatentLawshallapply *mutatismutandis* to the interpretation under Subsection (1).
- **28**bis.—(1) WheretheCommissionerofthePatentOfficeiscommissioned byacourt togiveanexpertopinionwithrespecttotheeffe ctsofthetrademarkright,heshallappoint threetrialexaminerstogivetheopinion.
- (2) Section 71 bis (2) shall apply mutatismutandis to the commission therefore derived preceding subsection.

RelationshipwithAnother'sPatentRight,etc.

29. Where theuseinagivenmannerofaregisteredtrademarkinrespectofthe designatedgoodsordesignatedservicesconflictswithanotherpe rson'spatent,utilitymodel ordesignrightunderitsapplicationfiledpriortothefilingdateofthetrademarkapplic ation concernedorwithanotherperson'scopyrighttakingeffectpriortothatdate,theownerofthe trademarkrightoroftherightofexclusiveornon -exclusiveornon -exclusiveuseshallnotuse theregisteredtrad emarkinsuchamanneronthepartof thedesigna tedgoodsordesignated servicesgivingrisetotheconflict.

 ${\it Rights of Exclusive Use}$





- **30.**—(1)Theownerofatrademarkrightmaygrantarightofexclusiveusewithrespect tohistrad markright.However,thisprovisionshallnota pplytoat rademarkrightunderan applicationreferredtoinSe ction4(2).
- (2)Theownerofarightofexclusiveuseshallhaveanexclusiverighttousethe registeredtrademarkinrespectofthedesignatedgoodsordesignatedservicestotheextent laiddownint hecontractgrantingsuchright.
- (3) Arightofexclusive usemay be transferred only with the consent of the trade mark rightor in the case of inheritance or other generals uccession.
- (4)Section77(4)and(5)(establishmentofpledge,etc.),Section97(2)(surrender)and Section98(1)(ii)and(2)(effectsofregistration)ofthePatentLawshallapply *mutatis mutandis*torightsofexclusiveuse.

RightsofNon -ExclusiveUse

- **31.**—(1)Theownerofatrademarkrightmaygrantarightofnon -exclusiveusewith respecttohistrademarkright. However, this provisions hall not apply to a trademarkright under an applic ation referred to in Section 4(2).
- (2) Theownerofarightofnon -exclusiveuseshallhavetherighttousetheregistered trademarkinrespectofthedesignatedgoodsordesi gnatedservicestotheextentlaiddownin theco ntractgrantingsuchright.
- (3)Arightofnon -exclusiveusemaybetran sferredonlywiththeconsentoftheowner ofthetrademarkright(oronlywiththecons entofsuchpersonandoftheowneroftheright ofexclusiveuse,inthecaseofarightofnon -exclusiveusewithrespecttotherightof exclusiveuse)orinthecaseofinheritancesorothergeneralsucce ssion.
- (4)Section73(1)(jointownership),Sec tion94(2)(establishmentofpledge),Section 97(3)(surre nder)andSection99(1)and(3)(effectsofregi stration)ofthePatentLawshall apply *mutatismutandis* torightsofnon -exclusiveuse.

RightofCorporationorAssociationMe mbers

- **31bis.**—(1)Membe rsofacorporationoranassociatione ntitledtoacollective trademarkrightunderSe tion7(1)(hereinafterreferredtoas"corporationorassociation members")shallhavetherighttousethecollectivetrademarkwithitsdesignatedgoodsor designatedservicesinaccordancewithregulationssetforthbythecorporationorass ociation. However,wherethetrademarkrightissubjecttoarightofexclusiveuse,thisprov isionshall notapplytotheextentthattheownerofthatrighthasanexclusiverig httousetheregistered trademark.
- (2) The right provided for in the principalse ntence of the preceding subsections hall not be transferred.





- s24quater,29,50, 52bis,53and73,corporationor (3)ForthepurposeofSection associationme mbersshallbe deemedasanowneroftherightofnon -exclusiveuse.
- (4)ForthepurposeofSection33(1)(iii)toco llectivetrademarkregistrations, "aperson who...hasarightofexclusiveusewithrespecttothetrademarkrightunderthetrademark registrationtha thasbeeninvalidatedorarightofnon -exclusiveusewhichiseffective.under Section 99(1) of the Patent Law as applied under Section 31(4) of this Law, against the trademarkrightortherightofexclusiveuse..."intheSection33(1)(iii)shallread "aperson who...hasarightofexclusiveusewithrespecttothetrad emarkrightunderthetrademark registrationthathasbeeninvalidatedorarightofnon -exclusiveusewhichiseffective,under Section 99(1) of the Patent Law as applied under Section n31(4)ofthisLaw,againstthe trademarkrightortherightofexclusiveuseorthecorporationorassociationmemberswho havetherighttousethecollectivetrademark"

Right to Use Trade mark by Virtue of Prior Use

- **32.**—(1)Where,fromatimepriorto thefilingbyanotherpersonofatrademark application and without any intention of violating the rules of fair competition, aperson has $been using in Japan the trade mark in the application or a similar trade mark in respect of the {\it the application} and {\it t$ designatedgoodsorde signatedservicesintheapplication,orinr espectofsimilargoodsor services, and, asar esult, the trademark has become well known among consumers as indicatingthegoodsorservicesasbeingconnectedwithhisbusinessatthetimeoffilingof thetr ademarkapplication(oratthetimeoffilingoftheoriginaltrademarkapplic ationorof submissionofanamendmentwhenthetrademarkapplicationisdeemedtohavebeenfiledat thetimeofsubmissionoftheamendmentina ccordancewithSection9 quatero fthisLawor inaccordancewithSection17 ter(1)oftheDesignLawasappliedunderSection17 thisLawor55 bis(3)(including its application under Section 60 bis(2)ofthisLaw)), such personshallhavearighttousethetrademarkinrespectof saidgoodsorservicesprovided thathedoessoco ntinuously. The same shall apply in the case of a person who has succeeded tothebusinessco ncerned.
- (2) The owner of the trade mark right or of a right of exclusive use may request the personhavingar ighttousethetrademarkundertheprecedingsubsectiontomarkhisgoods orservices with a suitable indication so a stop reventany confusion between the goods or services connected with the owner's business and those connected with the ot herperson's business.

Right to Use Trade mark Due to Use Prior to RegistrationofDemandforInvalidationTrial

33.—(1)Whenapersoncomingwithinanyofthepar agraphssetoutbelowhasbeen usinginJapanaregisteredtrademarkorasimilartrademarkinr espectoft hedesignated goodsordesignatedserv icesorsimilargoodsorservices, priortotheregistration of ademand foratrialunderSection46(1), withoutknowing that a trademark registr bsectionreferredto,a ndthetrademarkhasbecomewellknown oftheparagraphsofthesu among consumers as indicating the goods or services as being connected with his business, suchpersonshallhavearighttousethetrad emarkinrespectofthegoodsorservices





provided that he does so continuously. The same shall a pplyin the case of a person who has succeeded to the business concerned:

- (i)theoriginalownerofthetrademarkright, whereoneoftwoormoretrademark registrations granted for identical or similar trademark stobe used in respect of similar designated goods or designated services has been invalidated;
- (ii) theoriginalownerofthetrademarkrightwherehistrademarkregistrationhasbeen inval datedandatrademarkregistrationhasbeengran tedtothepersonentitledf oridenticalor similartrademarkstobeusedonidenticalorsimilarde signatedgoodsorde signatedservices;
- (iii)inthecasesreferredtointhetwoprece dingparagraphs,apersonwho,atthetime ofrg istrationofthedemandforatrialunderSectio n46(1),hasarightofexclusiveusewith respecttothetrademarkrightunderthetrademarkregi strationthathasbeeninvalidatedora rightofnon -exclusiveusewhichiseffective,underSe appliedunderSe ction31(4)of thisLaw,againstthetrademarkrightortherightofexcl usive use.
- (2) Theowner of the trademark right or of the right of exclusive uses hall have a right to a reasonable remuneration as consideration for the right of non exclusive use under the preceding subsection.
 - (3)Section32(2)shallapply mutatismutandis toSubsection(1).

Right to Use Trademark after Expiration of Term of Patent Right, etc.

- **33bis.**—(1)Whereapatentrightunderapatentapplic ationfiledpriortooronthedate of an application for registration of atrademark conflicts with the trademark right under that trademark application and the term of the patent right has right to use to the extent of the original patent right, there trademark similar theretowith respect to the designated goods or designated services covered by the trademark application or goods or services similar thereto. However, this provision shall apply only where the registered trademark is used without the intention of violating the rules of fair competitions.
 - (2)Section32(2)shallapply mutatismutandis totheprecedingsubse ction.
- (3)Theprovisionsoftheprecedingtwosubse ctionsshallapply *mutatismutandis* where autilitymodelordesi gnrightunderanapplicationfiledpriortooronthefilingdateofthe trademarkapplicationconflictswiththetrademarkrightu nderthetrademarkapplicationand thetermoftheutilitymodelorde signrightexpired.
- **33ter.**—(1)Whereapatentrightu nderapatentapplic ationfiledpriortooronthefiling dateofthetrademarkapplicationconflictswithatrademarkrightunderthattrademark applicationandthetermofthepatentrighthasexpired,anypersonwhohastheexclusive licenseonthepate ntrightoranon -exclusivelicensewithrespecttothepatentrightorits exclusivelicensewitheffectsasprovidedunderSection99(1)ofthePatentLawatthetimeof itsexpirationshallhavetherighttouse,withinthescopeoftheoriginalpatent right,the





registered trade mark or other trade mark similar the reto with respect to the design at edgo odsordesignatedservicesfallingunderthetrademarkapplicationorgoodsorservicessimilar thereto. However, this provisions hall apply only where the registeredtrademarkisused withouttheintentionofviolatingtherulesoffaircompet ition.

- (2)Section s32(2)and33(2)shallapply mutatismutandis totheprecedingsubse ction.
- (3)The provisions of the preceding two subse ctionsshallapply mutatismutandis where autilitymodelordesignrightunderanapplicationfiledpriortooronthefilingdateofthe trademarkapplicationconflictswithatrademarkrightunderthattrademarkapplicationand thetermoftheutilitymodelorde signrighthase xpired.

Pledges

- **34.**—(1)Whereatrademarkrightorarightofexcl usiveornon -exclusiveuseisthe subjectofapledge,thepledgeemaynotusetheregisteredtrademarkinrespectofthe designatedgoodsordesignatedservicesexceptasotherwiseprovide dbycontract.
- (2)Section96(attachment)ofthePatentLawshallapply mutatismutandis topledges onatrademarkrightorarightofexcl usiveornon -exclusiveuse.
- (3)Section98(1)(iii)and(2)(effectsofregi stration)ofthePatentLawshallapply mutatismutandis topledgesonatrademarkrightorarightofexcl usiveuse.
- (4)Section99(3)(effectsofregistration)ofthePatentLawshallapply mutatis *mutandis*topledgesonarightofnon -exclusiveuse.

Application mutatismutandis of Patent La w

nguishmentofpatentrightinabsence **35.** Section 73 (joint townership), Section 76 (exti ofheir), Section 97(1) (surrender) and Section 98(1)(i) and (2) (effects of registration) of the PatentLawshallapply mutatismutandis totrademarkrights.Insu chacase,"transfer otherwisethanbyi nheritanceorothergeneralsuccession..."inSe ction98(1)(i)ofthePatent Lawshallread"div isionortransferotherwisethanbyinheritanceorothergeneralsucce ssion ...,,

2.Infringement

Injunctions

- **36.**—(1) Theownerofatrademarkrightorofarightofexclusiveusemayrequirea personwhoisi nfringingorislikelytoinfringethetrademarkrightorrightofexclusiveuseto discontinueorrefrainfromsuchi nfringement.
- (2)Theownerofatrademarkrigh torofarightofexclusiveusewhoisactingunder theprecedingsubsectionmaydemandthedestructionoftheart iclesbywhichtheactof





infringementwascommi tted,theremovalofthefacilitiesusedfortheactofinfringement,or othermeasuresneces sarytopreventthei nfringement.

ActsDeemedtobeInfringement

- **37.** Thefollowingactsshallbedeemedtobeani nfringementofatrademarkrightorof arightofexclusiveuse:
- (i)useofatrademarksimilartotheregisteredtrademarkinrespectofth edesignated goodsordesignatedservices,oruseoftheregisteredtrademarkoroftrademarksimilar theretoinr espectofgoodsorservicessimilartothedesi gnatedgoodsordesi gnatedservices;
- (ii)actsofholding,forthepurposeofassig nmentorde livery,designatedgoods,or goodswhicharesimilartothedesignatedgoodsordesignatedservicesandtowhichoronthe packagingofwhichtheregisteredtrademarkoratrademarksimilartheretohasbeenapplied;
- (iii)actsofholdingorimportingarti cleswhichareforusebypersonstowhomthe servicesareprovidedandtowhichtheregisteredtrademarkoratrademarksimilartheretohas beenapplied,intheprovisionofthedesignatedservices,orofservicessimilartothe designatedservicesordes ignatedgoods,forthepurposeofusingsucharticlesinthe provisionofsuchservices;
- (iv)actsofassigningordeliveringarticleswhichareforusebypersonstowhomthe servicesareprovidedandtowhichtheregisteredtrademarkoratrademarksimi lartheretohas beenapplied,intheprovisionofthedesignatedservices,orservicessimilartothedesignated servicesordesignatedgoods,forthepurposeofcausingsucharticlestobeusedinthe provisionofsuchser vices,oractsofholdingorimpo rtingsuchart iclesforthepurposeof assigningordeliveringthem;
- (v)actsofholdingarticlesbearingareprodu ctionoftheregisteredtrademarkora trademarksimilartheretoforthepurposeofusingsuchtrademarkinrespectofthedesignated goods ordesignatedservicesorofgoodsorservicessim ilarthereto;
- (vi)actsofassigningordelivering,orofhol ding,forthepurposeofassignmentor delivery,articlesbearingareproductionoftheregisteredtrademarkoratrademarksimilar thereto,for thepurposeofcausingsuchtrademarktobeusedinrespectofthedesignated goodsordesignatedservicesorofgoodsorservicessimilarthereto;
- (vii)actsofmanufacturingorimportingarticlesbearingareproductionoftheregistered trademarkora trademarksimilartheretoforthepurposeofusingsuchtrademark,orcausing ittobeused,inrespectofthedesignatedgoodsordesignatedservicesorofgoodsorservices similarthereto:
- (viii)actsofmanufacturing,assigning,delive ringorimportin g,inthecourseoftrade, articlestobeusedexclusivelyformanufacturinggoodsbearingareproductionofthe registeredtrademarkorasimilartrad emark.

Presumption, etc. of Amount of Damage



- **38.**—(1)Wheretheownerofatrademarkrightorexcl usivel icenseeclaims, from a personwhohasinte ntionallyornegligentlyinfringedthetrademarkrightorexclusivelicense, compensationforda magecausedtohimbytheinfringement, and the person's actisthe assignmentofarticlesbywhichtheactoftheinf ringementwascommitted, the sum of money withtheunitpriceofsuchart iclesmultipliedbythenumberofarticles(herei nafterreferredto inthisparagraphasthe"numberofassignedarticles")whichtheownerofthetrademarkright orexclusivelicense ecouldhavesoldintheabsenceoftheinfringementmaybee stimatedas theamountofdamagesufferedbytheownerofthetrademarkrightorexclusivelicensee withinalimitnotexceedinganamountattainabledependingontheworkingcapacityofthe ownerofthetrademarkrightorexclusivelicensee. Wherethere is any circumstance that preventstheownerofthetrademarkrightorexclusivelicenseefromsellingpartorthewhole ofthenumberofa ssignedarticles, asum equivalent to the number of assignedarticles, as unequivalent to the number of assigned articles, as a number of as a number of assigned articles, as a number of a number of as a number of as a number of a numbe nedarticlessubject tothatconditionshallbed educted.
- (2) Wheretheownerofatrademarkrightorofarightofexclusiveuseclaims, from a personwhohasintentionallyornegligentlyinfringedthetrademarkrightorrightofexclusive use, compe nsation for damage caused to him by the infring ement, the profits gained by the infringerthroughtheinfringementshallbepresumedtobetheamountofdamagesufferedby theowner.
- (3) The owner of a trade mark right or of a right of exclusive use may claim, froma person who has intentionally or negligently infringed the trademarkrightortherightof exclusiveuse, an amount of money which he would be entitled tor eceivefortheuseofthe registeredtrademark, as the amount of damage suffered by him.
- (4) The preceding subsections hall not preclude a claim to damages exceeding the amountreferredtotherein.Insuchacase, wherethere has been n eitherwillfulnessnorgross negligenceonthepartofthepersonwhohasinfringedthetrademarkrightorthe rightof exclusiveuse, the court may take this into consideration when awarding damages.

Application mutatismutandis of Patent Law

39. Section 103 (presumption of negligence) and Se ctions 104 bisto 106 (obligation to clarifyrel evantactin concreteman ner, production of doc uments, etc., expertopinion for proofofdamageawardofreasonable damages, and measures for r ecoveryofreputation)of the Patent Lawshalla pply *mutatismutandis* to the infringement of a trademark right or a right ofe xclusiveuse.

3.TheRegistrationFee

RegistrationFee

40.—(1)Apersonwhoobtainsregistrationofatrad emarkshallpay,asaregistration fee, the amount of 66,000 yen per case or this amount multiplied by the number of classes of the same of the contraction of tervices(classesoftheclassificationofgoodsandservices theclassificationofgoodsands





whichareprescribedbyCabinetOrderreferredtoinSection6(2)andtowhichthedesignated goodsordesignatedservicesbelong;hereina fterthesame).

- (2) Aperson who applies for registrati on of ren wal of the term of a trademark right shall pay the amount of 151,000 yen percase or this amount mu ltiplied by the number of classes of the classification of goods and services covered as a region of the classification of the classificat
- (3)Thetwoprecedingsubsectionssha llnotapplytotrademarkrightsbelongingtothe Stateorindependentadministrative institutions(referringto theindependentadministrative institutions prescribedinSection2(1)oftheLaw concerningthe GeneralProvisions of IndependentAdministrati ve Institutions(LawNo.103of1999) ,hereinafter thesame)which arethosetobesetup byCabinetOrder bytakinginto considerationthe substanceoftheir businessandother circumstances.
- (4) Theprovisionsof Subsections(1)and(2)shallnotapply to a trademarkright ownedjointlyby theStateandtheindependentadministrative institutionsprescribed by CabinetOrder intheprecedingsubsectionor ownedjointlyby theindependentadministrative institutionsprescribed byCabinetOrder inthesame subsection.
- (5)WheretheStateandthelike(referringto theState or theindependent administrative institutionsprescribed by Cabinet Order in Subsection (3), the same in Section 76(3)and(5))and the person other than the State and the like (referringtothe personother institutions prescribedbyCabinetOrder in thantheStateandtheindependentadministrative Subsection(3), the same in this subsection and thesame section(5))jointlyown atrademark rightandthereisanagreement with respect totheirsharesoftheright,the registrationfee underSubsection(1)or(2)shallbe asum withtheprescribedregistrationfeeunderth subsectionsmultipliedbytheratio softheshare softheperson sotherthantheStateandthe like, and the pers on so ther than the State and the like shall pay such sum, not with standing the provisionofSubsection(1)or(2).
- (6)Wheretheamountoftheregistrationfeeca lculatedinaccordancewiththe provisionoftheprecedingsubsectionhasafractionalfigure lessthan10yen,thatfractional figureshallbedi scarded.
- (7) The payment of the fee under Subsection (1) or (2) shall be made by patent revenue stamps as prescribed by an ordinance of the Ministry of Economy, Trade and Industry, such payment may be made in cash.

Time Limit for Payment of Registration Fee

- **41.**—(1)TheregistrationfeeunderSection40(1)shallbepaidwithin30daysfromthe dateofthetran smittaloftheexaminer'sdecisionortrialdec isionthatthetrademark registrationistobee ffected.
- (2)Upontherequestofapersonliabletopayaregistrationfee,theCommissionerof thePatentOfficemayextendtheperiodprescribedinthepreced ingsubsectionbyaperiodnot exceeding30days.





(3) Aregistration fee as prescribed in Section 40(2) shall be paid at the time of a request for registration of renewal.

PaymentofRegistrationFeeinInstal lments

- **41**bis.—(1)NotwithstandingSection40(1),apersonwhoobtainsregistrationofa trademarkrightmaypaytheprescribedregistrationfeeininstallments.Inthiscase,the amountof44,000yenpercaseorthisamountmultipliedbythenumberofclassesofthe classificationofgoodsandservi cesshallbepaidforeachcasewithin30daysfromthedateof thetransmittaloftheexaminer'sdecisionortr ialdecisionthatthetrademarkistobe registeredandalsotheamountof44,000yenpercaseorthisamountmultipliedbythe numberofclasse softheclassificationofgoodsandservicesforeachcasebytheendoffive yearsbeforetheexpirationofthetermofthetrademarkright.
- (2)NotwithstandingSection40(2),apersonwhomakesarequestforregistration of renewalmaypaytheprescrib edfeeininstallments.Inthiscase,theamountof101,000yen percaseorthisamountmultipliedbythenumberofclassesoftheclassificationofgoodsand servicesshallbepaidforeachcaseatthetimeofarequestforregi strationofrenewalandal so theamountof101,000percaseorthisamountmultipliedbythenumberofclassesofthe classificationofgoodsandservicesbytheendoffiveyearsbeforetheexp irationoftheterm ofthetrademarkright.
- (3)Wheretheownerofatrademarkrightis unabletopaytheregistrationfeeduetobe paidbytheendoffiveyearsbeforetheexpirationofthetrademarkrightunderSubsection(1) or(2),hemaypaytheregistrationfeebelatedlywithinsixmonthsaftertheexpir ationofthat timelimit.
- (4) Whentheownerofatrademarkrightfailstopay, within aperiod during which he may make a delayed payment of the prescribed registration fee under the preceding subsection, are gistration feedue to be paid under Subsection (1) or (2) by the end of five years before the expiration of a trademark right and a surcharge under Section 43 (3), the trademark right shall be deemed to have been extinguished retroactive to the date of the end of five years before its expiration.
 - (5)Section40(3)to(6)shallapp ly mutatismutandis toSu bsections(1)and(2).
- (6)Section41(2)shallapply mutatismutandis tothepaymentofaregistrationfeedue tobepaidwithin30daysfromthetransmittaloftheexa miner'sdecisionorthetrialdecision toatrad emarkistobe registe redunderSubsection(1).

PaymentofRegistrationFeebyanInte restedPe rson

41ter.—(1)Anyinterestedpersonmaypayaregistrationfee(excludingaregistration feeduetobepaidatthetimeofarequestforregistrationofr enewal)evenagain stawillof thepersonliabletopaytheregistr ationfee.





(2) An interested person who has paid are gistration fee under the preceding subsection may demand reimbursement of the expenditure to the extent that the person liable to pay is actually making a profit.

RefundofRegistrationFee

- **42.**—(1)Aregistrationfeepaidshallberefundedontherequestofthepersonmaking thepaymentwhereitfallsunderanyofthefo llowingparagraphs:
 - (i)registrationfeepaidbymistakeorinexcess;
- (ii) registra tionfeeduetobepaidbytheendoffiveyearsbeforetheexpirationofa trademarkrightunder Section 41bis (1) or (2) (only in case where a ruling to revoke under Section 43ter (2) or a trial decision or a retrial decision that the trademark registratio nist obe invalidated has become final and conclusive by the end of fiveyears before the expiration of the trademark right).
- (2)Norefundofaregistrationfeeundertheprecedingsubsectionmayberequested afteroneyearfromthedateofpaymentint hecaseofaregistrationfeeprescribedunder Paragraph(i)above,oraftersixmonthsfromthedateonwhicharulingtorevokeunder Section43ter (2)oratrialdecisionoraretrialdecisionbecamefinalandconclusiveinthecase ofaregistrationfee underParagraph(ii)above.

SurchargeRegistrationFee

- **43.**—(1)Apersonwhomake sarequestforregistrationofrenewalofatrademarkright underSection20(3)orSection21(1)shallpay,inadditiontoaregistrationfeeduetobepaid underSection40 (2),asurchargeequivalentinamounttotheregistrationfee.
- (2)InthecasewhereSection41 *bis*(2)isapplic able,apersonasprescribedinthe precedingsu bsectionshallpay,inadditiontoaregistrationfeeduetobepaidatthetimeofan applicationforregistrationofrenewalofatrademarkrightunderSection41 *bis*(2),a surchargeequivalentinamounttotheregistrationfee.
- (3)Inthecasewhere Section 41 bis (3)is applie able, the owner of a trademark right shall pay, in addition to a registra tion feed ue to be paid by the end of five years before the expiration of the trademark right under Section 41 bis (1) or (2), a surcharge equivalent in amount to the registration fee.
- (4)Thepaymentofthesurchargeundertheprece dingthreesubsectionss hallbemade byrevenuestampsasprescribedbyanordinanceoftheMini stryofEconomy,Tradeand Industry.However,whereversoprescribedbyanordinanceoftheMi nistryofEconomy, TradeandIndustry,suchpaymentmaybemadeincash.

CHAPTERIV BIS OPPOSITIONTOREGISTRA TION



OppositiontoRegistration

- **43**bis. OnlywithintwomonthsfromthepublicationoftheGazettecontainingthe trademark, any person may file with the Commissioner of the Patent Office an opposition to a trademarkregistrationonthe groundsthatthetrademarkregistrationfallsu undermentionedparagraphs. In such an event, if two or more items of designated goods or designatedservicesarecoveredbythetrad emarkregistration,theoppositionmaybefiled withrespec ttoeachofsuchdesignatedgoodsordesignatedser vices. That is:
- (i)wherethetrademarkregistrationhasbeene ffectedcontrarytoSection3,4(1),8(1), (2)or(5),51(2)(including its application under Se ction52 bis(2)),53(2)orSection25ofthe PatentLawasappliedu nderSection77(3);
- (ii)wherethetrademarkregistrationhasbeene ffectedcontrarytotheprovisionsofa treaty.

Ruling

- **43**ter.—(1)Atrialconcerninganoppositionandarulingthereonshallbeconducted by acollegialbodyof threeorfivetrialexami ners.
- (2)Whereitisfoundthatthetrademarkregistr ationconcernedintheoppositionfalls underanyoftheparagraphsofthepreceding section, the trial examiners shall render aruling thatthetrademarkregistrationistoberevoked(hereina fterreferredtoasthe"rulingto revoke").
- (3) Wherearuling to revoke has become final and conclusive, the trade mark right shall bedeemednevertohaveexisted.
- (4) Where it is not found that the trademark re gistrationintheopposi tionfallsunder anyoftheparagraphsofthepreceding section, the triale xaminersshallrenderarulingthat thetrademarkregistr ationistobemaintained.
 - (5)NoappealshallliefromarulingunderSu bsection(4).

FormalRequirementsofaWrittenOp position, etc.

- **43**quater.—(1)Apersonfilinganoppositiontoatrademarkregistrationshallsubmita writtenoppositiontotheCommissionerofthePatentOfficestatingthefollo wing:
 - (i)thenameandthedomicileorresidenceoftheopponentandhisre presentative;
 - (ii)anidentificationofthetrademarkregistr ationconcernedintheo pposition;
 - (iii)thegroundsoftheoppositionandanindia tionofthesupportingevidence.
- (2) Anamendment of the written opposition submit tedundertheprecedingsu bsection shallnotchangethegistthereof. However, this shall not apply to an amendment made to the





matterpr escribedinParagraph(iii)oftheprecedingsu bsectionbeforealapseof30daysafter theexp irationofthetimelimitprescribedinSection4 bsectionbeforealapseof30daysafter 3bis.

- (3)TheCommissionerofthePatentOfficemay,forthebenefitofapersonresidingin aplacethatisremoteordifficultofaccess,extenduponr equestorexofficiotheperiod prescribedintheprecedingsu bsection.
- (4) The trial examiner -in-chiefshall transmit acopy of the written opposition to the owner of the trade mark right.
 - (5)Section46(3)shallapply mutatismutandis wheretheoppositionhasbeenfiled.

Designation of Trial Examiners, etc.

43quinquies. Sections 136(2) and 137to 1440 fthe Patent Law sapplied under Section 56(1) shall apply *mutatismutandis* to the collegial body under Section 43 *ter*(1) and trial examiners constituting thereof.

TrialClerk

- **43quinquies-bis.**—(1) The Commissioner of the Patent Offices hall designate at clerk for each case of opposition to a trademark registration.
- (2) Section144*bis* (3)to(5)ofthePatentLawasappliedunderSection56(1)ofthis Lawshalla pplytothetrialclerkintheprecedingsubse ction.

ConductofTrialExamination,etc.

- **43**sexies.—(1)Thetrialexaminationoftheoppositionshallbeconductedby documentaryexamination. However, thetrialexaminer -in-chiefmaydecidetoconductthe trialbyoraltrialonamotion by the owner of the trademark right, an opponent or an intervenor, or exofficio.
- (2)Sections145(3)to(5),146and147ofthePatentLawasappliedunderSection 56(1)ofthisLawshallapply *mutatismutandis* totheorale xaminationinaccordancewiththe provisototheprecedingsubsection.
- (3) Wherethereis agroundforinterruptionorsuspensionofthetrialproceedingsofan oppositionandrulingthereononthepartofoneofthejointownersofatrademarkright, the interruptionorsuspensionshallhaveeffectonallofthem.

Intervention

43septies.—(1) Anypersonwhohasarightwithrespecttothetrademarkrightorany otherpersonwhohasani nterestinthetrademarkrightmayinterveneinthetrialexamination, inordertoassisttheownerofthetrademarkrightuntiltherulingontheopp osition.





(2)Sections148(4)and(5)and149ofthePatentLawasappliedunderSection56(1)of thisLawshallapply *mutatismutandis* totheintervenoru ndertheprecedingsubse ction.

Taking of Evidence and Preservation Thereof

43*octies*. Sections 150 and 151 of the ePatent Law as applied under Section 56(1) of this Law shall apply *mutatismutandis* to the examination and preservation of evidence in a trial examination of opposition.

TrialExaminationExOfficio

- **43***novies*.—(1)Inatrialexaminationofanopposition, eventhegroundsthathavenot beenpleadedbytheow nerofatrademarkright,anopponentorintervenormaybeexamined.
- (2)Inatrialexaminationofanopposition, onlythedesignated goodsordesignated services challenged by the opponent may be considered in the trial examination.

CombinationorSeparationofTrialE xamination

- **43**decies.—(1)Trialexaminationsoftwoormoreoppositionsconcerningthesame trademarkrightshallbeco mbined,unlessspecialcircu mstancesexist.
- (2)Trialexaminationst hathavebeencombinedu ndertheprecedingsubsectionmay laterbeconduc tedseparately.

WithdrawalofOppositions

- **43***undecies*.—(1)Anoppositiontothetrademarkregistrationmaynotbewithdrawn afterthenotificationunderSection43 *duodecies*.
- (2) Sect ion155(3)ofthePatentLawasappliedunderSection56(2)ofthisLawshall apply *mutatismutandis* tothewithdrawaloftheoppos ition.

NotificationofReasonsforRevoc ation

43*duodecies*. Whenthetrialexaminer -in-chiefintendstorenderarulingtore voke,he shallnotifytheownerofthetrademarkrightandintervenorofthereasonsforrevocationof thetrademarkregistrationandgivethemanopportunitytosubmitastatementoftheir arguments,designatinganadequatetimelimit.

FormalRequirements of Ruling

- **43**terdecies.—(1)Therulingontheoppositionshallbeinwri tingandshallstate particularsmentionedbelow,andthetrialexaminerswhohaverenderedtheru lingshallhave theirnamesandsealsaffixedthereto:
 - (i)thenumberoftheopposit ioncase;





- (ii)thenameandthedomicileorresidenceoftheownerofthetrademarkright, opponentandinte rvenoraswellasoftheirreprese ntatives;
 - (iii)theidentificationoftheregisteredtrad emarkrelatingtotheruling;
 - (iv)theconclusions of theruling and there as on sthere for;
 - (v)thedateoftheruling.
- (2)Oncetherulinghasbeenrendered,theCommi ssionerofthePatentOfficeshall transmitittotheownerofthetrademarkright,anopponent,i demandtointer venehasbeenrefused.

Application mutatismutandis of Provisions on Trial

43quaterdecies.—(1)Sections133,133 *bis*,134(4),135,152,168,169(3)to(6)and 170ofthePatentLawasappliedunderSection56(1)ofthisLawshallapply *mutatis mutandis*to thetrialexaminationoftheopp ositionandtherulingthereon.

(2)Section43 *ter*(5)ofthisLawshallapply *mutatismutandis* totherulingunder Section135ofthePatentLawasappliedundertheprecedingsu bsection.

CHAPTERV TRIAL

TrialAgainstExami ner'sDecisionofR efusal

- **44.**—(1)Apersonwhohasreceivedtheexaminer'sdec isionthathisapplicationisto berefusedandisdissatisfiedmaydemandatrialthereonwithin30daysfromthetransmittal oftheexaminer'sdec ision.
- (2)Where,duetorea sonsoutsidehiscontrol,apersonisunabletodemandatrialunder theprecedingsubsectionwithinthetimelimitpr escribedtherein,hemay,notwithstanding thatsu bsection,makethedemandwithin14days(whereheisaresidentabroad,withintwo months)fromthedatewhenthereasonceasedtobeapplicablebutnotlaterthansixmonths followingtheexpirationofthesaidtimelimit.

TrialAgainstRulingtoDeclineAmendment

- **45.**—(1)ApersonwhohasreceivedarulingtodeclineanamendmentunderSect ion 16bis(1) and is dissatisfied may demand a trial thereon within 30 days from the transmittal of the ruling. However, this provision shall not apply when a new application for trademark registration has been filed under Section 17bis(1) of the Design La was applied under Section 17bis(1) of this Law.
- (2)Section44(2)shallapply *mutatismutandis* tothedemandforatrialunderthe precedingsubse ction.





TrialforInvalidationofTrademarkRegistr ation

- **46.**—(1)Inthefollowingcases,atrialmaybedema ndedfortheinvalidationofa trademarkregistr ation.Insuchevent,iftwoormoreitemsofde signatedgoodsordesignated servicesarecoveredbythetrademarkregistration,thetrialmaybedemandedwithrespectto eachofsuchdesignatedgoodsordes ignatedservices:
- (i) wheretheregistrationhasbeeneffectedco ntrarytoSection3,4(1),8(1),(2)or(5), 51(2)(includingitsapplicationunderSection52 bis(2))or53(2)ortoSection25ofthePatent Lawasa ppliedunderSection77(3)ofthisLaw;
 - (ii)wheretheregistrationhasbeeneffectedco ntrarytotheprovisionsofatreaty;
- (iii)wheretheregistrationhasbeeneffectedinrespectofatrademarkapplicationfiled byape rsonwhohasnotsucceededtotherightderivingfromthetrademarkap plication;
- (iv)where,aftertheregistration,theownerofthetrademarkrighthasbecomeaperson whocannolongerenjoysuchrightunderSection25ofthePatentLawasappliedunder Section77(3)ofthisLawortheregistrationnolongercomplieswit hatreaty;
- (v) where, after the registration, the registered trademark has become a trademark falling under Section 4(1) (i) to (iii), (v) and (vii) to (xvi).
- (2) Evenaftertheextinguishmentofatrademarkright, atrialunderthe preceding subsection may be demanded.
- (3) WhereatrialunderSubsection(1) has been demanded, the trial examiner -in-chief shall notify the owner of a right of exclusive use with respect to the trade mark right and other persons who have any registered rights relating to the trade mark registration.
- **46bis.**—(1)Whereatrialdecisionthatthetrademarkre gistrationistobeinvalidated hasbecomefinalandconclusive, thetrademarkrightshallbedeemednevertohaveexisted. However, whereatrademarkregistrationfallsunder Section46(1)(iv)or(v) and atrial decisionthatthetrademarkregistrationistobeinvalidated hasbecomefinal and conclusive, the trademarkrightshall bedeemed not to have existed from the time when the trademark registration came to fall under Section 46(1)(iv)or(v).
- (2) In the case of proviso to the preceding su bsection, where a time at which the registered trademark came to fall under Section 46(1)(iv) or (v) cannot be established, the trademark right shall be deemed not to have existed from the date of the registration of a demand for a trial to invalidate the trademark registration.
- **47.**WhereatrademarkregistrationhasbeeneffectedcontrarytoSection3,4(1)(viii)or (xi)to(xiv)or8(1),(2)or(5),contrarytoSection4(1)(x)or(xvi i)(exceptwhereregistration wasobtainedwith the intention of violating the rules of fair competition) or contraryto Section4(1)(xv)(except where the registration was obtained for unfair intention), or wherea trademark registration falls under Section46(1)(iii), a trial on the trademark registration may not be demanded under Section46(1) after five years from the registration fills when the first probability at its probability of the stablishment of the trademark right.





- **48.** [Deleted]
- **49.** [Deleted]

TrialforCancellationofTrademarkRegistr ation

- **50.**—(1) Where neither the owner of the trade mark right nor the owner of a right of -exclusiveusehasbeencontinuouslyusing,in exclusiveusenortheownerofarightofnon Japanforthreeyearsormore, the registered trademark (including a trad emarkc onsistingof identicalcharactersonlywiththeirfontsmodified,trademarkswhich,withtheirindication mutuallyreplacedincharacterofhir aganaandkatakana(Japanesephoneticcharacters)and Latinalphabetmayimplyanidenticalpronu nciation andcon cept, atrademark consisting of figurescommoninappearanceandothertrademarksr egardedtobegenerallyacceptedas identicalwiththeregisteredtrademark —hereinafterreferredtointhisSectionas"registration trademark")inrespectofeachitemoft hedesignatedgoodsordesignatedservices, any person maydemandatrialforthecancellationofregistrationofthetrad emarkwithrespecttosuch designatedgoodsorde signatedservices.
- (2) Inthecasewhereatrialundertheprecedingsubsectionhas beendemanded,unless thedefendantcanprovethateithertheownerofthetrademarkrightortheownerofarightof exclusiveuseortheownerofarightofnon -exclusiveusehasusedinJapanwithinthreeyears priortotheregistr ationofthedemandfo rthetrialtheregisteredtrademarkinrespectofany itemofthedesignatedgoodsordesignatedservicestowhichthedemandreferredtorelates, theownerofthetrademarkshallnotavertthecancellationoftheregisteredtrademarkforthe designatedg oodsordesignatedservices. However, this provisions hallnot applywhere the defendant justifies that there are legitimatere as on sforthefailure to use the registered trademarkinrespectofthedesignated goodsordesignated goodsordesignated services.
- (3) Whereare gisteredtrademarkofwhichtheca ncellationtrialhasbeendemanded under Subsection (1) is used by the owner of the trade mark right or the owner of a right of its an extension of the contraction of the contractexclusiveuseoritsnon -exclusiveuseinrespectofthedesignatedgoodsordesignated servicestowhichthedemandreferredtorelatesfromthreemonthsbeforead emandforsuch gistered cancellation till the date of the registration of the demand, such ause of the re trade mark shall not fall under the use of the registered trade mark referredtoinSubsection(1) providedthatthedemandantprovesthattheregisteredtrademarkwasusedwiththe knowledge that the cancellation trial for the registered trade mark had been demanded.However, this prov ision shall not apply where the defendant just i fiesthattherearelegitimate reasonsforsuchuseoftheregi steredtrademark.
- **51.**—(1)Wheretheownerofthetrademarkrightinte ntionallyusesatrademarksimilar totheregi steredtrademarkinrespectofthedesignatedgoodsordesignatedservices, or intentionallyusestheregisteredtrademarkorsimilartrademarkinr espectofgoodsorservices similartothedesi gnatedgoodsordesignatedservicesinawaywhichmaybemisleadingasto thequalityofthegoodsorservicesorwhichmaycauseconfusi onwithgoodsorservices connectedwithanyotherpe rson'sbusiness,anypersonmaydemandatrialforthe cancellationofthetrad emarkregistration.





- (2) Whereatrademarkregistrationhasbeenca nceledundertheprecedingsubsection, theformerownero fthetrademarkrightmaynotobtainatrademarkregistrationofthesame orasimilartrademark, forthedesignated goods ordesignated services covered by the trade mark registration or for goods or services similar the reto, until five years have elapsedsincethedatewhenthetrialdecisionorderingcancellationbecamefinalandconclusive.
- **52.**ThetrialunderSection51(1)maynotbedemandedafterfiveyearsfromthedate onwhichtheownerofatrademarkrightceasedtousethetrademarkinthemanne rreferredto inthatsubsection.
- **52bis.**—(1)Where, as a result of the transfer of a trad emarkright, the trademarkright toasimilarre gisteredtrademarkthatisusedforidenticalgoodsorservicesortheidenticalor similarregisteredtrademarkthat isusedforsimilargoodsorserv icescomestobelongtoa differentowner and where the use of one registered trademark by its owner is use, with an intention of violating the rules of fair competition, of the registered trademark for its designatedgoods ordesignatedservicesinawaywhichmaycauseconfusionwithgoodsor servicesconnectedwiththebusinessoftheownerofotherregisteredtrademarkortheowner oftherightofitsexclusiveornon -exclusiveuse, any person may demand a trial for the cancellationofthetrad emarkregistration.
 - (2) Sections51(2)and52shallapply *mutatismutandis* tothetrialunderSubsection(1).
- **53.**—(1)Wheretheownerofarightofexclusiveornon -exclusiveuseusesthe registeredtrademarkorasimilartrademar kinrespectofthedesignatedgoodsordesignated servicesorgoodsorservicessimilartheretoinawaywhichmaybemisleadingastothe qualityofthegoodsorservicesorwhichmaycauseconfusionwithgoodsorservices connected with any other person 's business, any person may demand a trial for the cancellation of the trademark registration. However, this prov isionshallnotapplywherethe ownerofthetrad emarkrightwasbothunawareofthefactandtakinga ppropriatecare.
- (2) Whereatrademark registration has been can celedunder the preceding subsection, theformerownerofthetrademarkrightandtheformerowneroftherightofexclusiveornon exclusiveusewhohadusedthemarkinthewayreferredtointheprecedingsubsectionmay notobtanatrademarkregistrationoftheregisteredtrademarkorasimilartrademark, for the design at edgoods or design at edservices covered by the trademark registrationorforgoodsor servicessimilarthereto, untilfive years have elapsed since the date whe nthetrialdecision ordering cancellation became final and conclusive.
 - (3) Section 52 shall apply *mutatismutandis* to the trial under Subse ction(1).
- **53bis.** Wherear egistered trademark is the trademark of a person who has the right to $the trade mark in \quad a country party to the Paris Convention or a Member of the World Trade$ Organization or a country party to the Trademark Law Treaty (but only where such right is a constant of the trademark Law Treaty) and the trademark Law Treaty (but only where such right is a constant of the trademark Law Treaty).equivalenttothetrademarkright)orissimilartosuchatrademark,andthegoodsorservices relatingtosuchrightorsimilargoodsorserviceshavebeenmadethedesignatedgoodsor designated services, and more over the trade mark application concerned was made, without a legitimatereasonandwithouttheauthorizationofthepersonwhohasthe righttothe trademark, by his agentor representative or by a person who was his agent or representative at





anytimeduringtheyearprecedingthefilingdateoftheapplication,thepersonwhohasthe righttothetrademarkmaydemandatrialforthecan cellationofitsregi stration.

- **53ter.**ThetrialunderSection53 *bis*maynotbedemandedafterfiveyearsfromthe registrationofthee stablishmentofthetrad emarkright.
- **54.**—(1)Whenatrialdecisionorderingcancellationofatrademarkregistrationha s become final and conclusive, the trademark right shall from that moment become extinguished.
- (2) Notwithstandingtheprecedingsubsection, at rademark rightshall be deemed to have extinguished on the date of registration of a demand for the trial where the trial decision that a trademark registration is to be canceled has become final and conclusive on the trial under Section 50(1).
- **55.** Section 46(3) shall apply *mutatismutandis* to the trial sdemanded under Sections 50(1),51(1),52 *bis*(1),53(1) and 53 *bis*.

SpecialProvisionsforTrialsAgainstE xaminer'sDecisionofR efusal

- **55bis.**—(1)Section15 *bis*and15 *ter*ofthisLawshalla pply *mutatismutandis* wherea reasonforrefusalwhichwasnotcontainedintheexaminer'sdecisionisfoundinthetrial underSection44(1).
- (2)TheprovisionofSection16shallapplywhereademandmadeunderSection44(1) istobeallowed.However,thisprovisionshallnotapplywhereatrialdecisionismadefora trademarkapplicationtobefurtherexaminedunderSection 160(1)ofthePatentLawas applied under Subsection(1)ofthefollowingsection.
- (3)Sections 16 bis of this Lawand Section 17 ter of the Design Lawshall apply mutatis mutandisto a trial under Section 44(1) of this Law. In such a case, "has demanded trial under Section 45(1)" in Section 16 bis (4) shall read "has instituted an a ction under Section 63(1)".

Application mutatismutandis of Patent Law

- $\textbf{56.} \textbf{--} (1) Sections 131(1) and (2), 132 to 133 \qquad bis, 134(1), (3) and (4), 135 to 154, 155(1) and (2), 156 to 1 \qquad 58, 160(1) and (2), 161 and 167 to 170 (effects of trial decision, demands for trial, trial examiners, trial proceeding, relationship with litigation and costs of trial) of the Patent Lawshall apply <math>mutatis mutandis$ to trial sunder this Law. In such aca se, "Section 123(1) or 125 bis(1)" in Sections 132(1), 145(1), 167 and 169(1) of the Patent Lawshall read "Section 46(1), 50(1), 51(1), 52 bis(1), 53(1) or 53 bis of the Trademark Law, "and "Section 121(1)" in Section 161, and "Section 121(1) or 126(1)" in Section 169(3) of the Patent Law shall read "Section 44(1) or 45(1) of the Trademark Law"
- (2) Section 155(3) (with drawal of demand for trial) of the Patent Lawshall apply *mutatismutandis* to the trial under Section 46(1).





Application mutatismutandis of DesignLaw

56bis.Section51oftheDesignLawshallapply mutatismutandis toatrialunder Section45(1)ofthisLaw.

CHAPTERVI RETRIALANDLITIGATI ON

DemandforRetrial

- **57.**—(1)Againstafinalandconclusiverulingtor evokeorafinalandconclusive trial decisionorretrialdecision,thepartyconcernedoraninte rvenormaydemandar etrial.
- (2)Sections338(1)and(2)and339(groundsforretrial)oftheCodeofCivilProcedure (LawNo.109of1996)shallapply *mutatismutandis* tod emandsforare trialunderthe precedingsubsection.
- **58.**—(1)Wherethedemandantandthedefendantinatrialhaveincollusioncauseda trialdecisiontoberendered,withthepurposeofinjuringtherightsorinterestofathird person,suchpersonmaydemandaretria lagainstthefinalandconcl usivetr ialdecision.
 - (2)Insucharetrial,thedemandantandthed efendantshallbemadejointdefe ndants.

RestrictiononEffectsofTrademarkRightR estoredbyRetrial

- **59.** Whereatrademarkrightrelatingtoarevokedori nvalidatedorcanceledtrademark registrationhasbeenrestoredthrougharetrial,theeffectsofthetrademarkrightshallnot extendtothefo llowingacts:
- (i)theuseingoodfaithoftheregisteredtrad emarkinrespectofthedesignatedgoods ordesi gnatedservicesaftertherulingtorevokeorthetrialorretrialdecisionbecamefinal andconcl usivebutbeforetheregistrationofthedemandforar etrial;
- (ii)theactsmentionedineachparagraphofSe ction37performedingoodfaithafter therulin gtorevokeorthetrialorretrialdecisionbecamefinalandconclusivebutbeforethe registrationofthedemandforaretrial.
- 60.—(1)Whereatrademarkrightrelatingtoarevokedorinvalidatedorcanceled trademarkregistrationhasbeenrestoredthr ougharetrial,orwheretheestablishmentofa trademarkrightunderatrad emarkapplicationwhichwasrefusedbyatrialorretrialdecision, hasbeenregisteredthrougharetrial,andwhereapersonhas,ingoodfaith,beenusingthe registeredtrademar kinJapanoratrademarksimilartheretoonthedesignatedgoodsor designatedservicesorgoodsorservicessim ilartheretoaftertherulingtorevokeorthetr ialor retrialdecisionbecamefinalandconclusivebutbeforetheregistrationofthedemand fora retrialand,asaresult,thetrademarkhasbecomewell -knownamongconsumersasindicating thegoodsorservicesasbeingconnectedwithhisbusinessatthetimeofregistrationofthe demandforaretrial,suchpersonshallhavearighttousethe trademarkinthegoodsor





services provided that he does so continuously. The same shall apply in the case of a person whohassucceededtothebusinessco ncerned.

(2)Section32(2)shallapply mutatismutandis totherecedingsubse ction.

Application mutatismutandis of Provisions of Trial

- **60bis.**—(1)Sections43 ter,43quinquies to43 novies,43duodecies to43 quater deciesof thisLaw, Se ctions 131(1) and (2), 132(3), 154, 155(1) and 156 of the Patent Law as applied underSection56(1)ofthisLawandSec tion155(3)ofthePatentLawasappliedunder Section156(2)ofthisLawshallapply mutatismutandis toaretrialagainstthefinaland conclusiverulingtorevoke.
- (2) Section 55 bisshall apply mutatismutandis to are trial against the final and conclusivetrialdecisiononatrialunderSection44(1).
- (3) Section 56 bisshall apply mutatismutandis to are trial against the final and conclusivetrialdecisiononatrialunderSection45(1).

Application mutatismutandis of Patent Law

61. Section 173(ti melimit for demand for retrial) and Section 174(3) and (5) (applicationofprov isionsontrial,etc.)ofthePatentLawshalla pply mutatismutandis to retrialsunderthisLaw.Insuchacase, "Section123(1)or125 bis(1)"inSection174(3)ofthe PatentLawshallread"Se ction46(1),50(1),51(1),52 bis(1),53(1)or53 bisoftheTrademark Law".

Application mutatismutandis of DesignLaw

- **62.**—(1)Section58(2)oftheDesignLawshallapply *mutatismutandis* toaretrial againstthefinalandconclusivetri aldecisiononatrialunderSection44(1)ofthisLaw.
- (2)Section58(3)oftheDesignLawshallapply mutatismutandis toaretrialagainstthe finalandconclusivetrialdecisiononatrialunderSection45(1)ofthisLaw.

ActionsAgainstTrialDecisio ns,etc.

- **63.**—(1)Anactionagainstarulingtorevokeoratr ialdecisionorarulingtodeclinean amendmentunderSection16 bis(1)asappliedunderSection55 bis(3)(includingits applicationunderSection60 bis(2))oranactionagainstarulingofdism issalofawritten oppositionorademandforatr ialorretrialshallcomeundertheexclusivej urisdictionofthe TokyoHighCourt.
- (2)Section178(2)to(6)(timelimitforinstit utionofaction, etc.) and Sections 179 to 182(d efendantintheaction, notification of institution of action, annulment of trial decisions orruling, and sending of certified copy of judgment) of the Patent Lawshall apply mutatis mutandistoactionsundertheprecedingsubsection. Insuchacase, "Section 123(1) or





125*bis*(1)"inSection179ofthePatentLawshallread"Section46(1),50(1),51(1),52 *bis*(1), 53(1)or53 *bis*oftheTrademarkLaw" .

RelationshipBetweenAdministrativeAppealandLitigation

63bis.Section184bis (relationshipbetweenadministr ativeappealandltigation)ofthe PatentLawshallapply *mutatismutandis* toactionsfortheannulmentofmeasures(withthe exceptionofmea suresunderSection77(7))takenunderthisLaworanorderorordinance thereunder.

CHAPTERVII DEFENSIVEMARKS

RegistrabilityofD efensiveMarks

- **64.**—(1)Theownerofatrademarkrightmay, when his registered trademark in respect of goods has been ecomewell-known among consumers as indicating the designated goods as being connected with his business and when the use of the registered trademark by anyother person in respectof goods other than the designated goods covered by the registered trademark and goods similar the retoors ervices similar to the designated goods is likely to cause confusion between such goods or services and the designated goods in connection with his business, obtain a defensive mark registration of a mark identical with the registered trademark with respect to goods or services for which such possibility of confusion exists.
- (2)Theownerofatrademarkrightma y,whenhisregisteredtrademarkinrespectof serviceshasbecomewellknownamongconsumersasindicatingthedesignatedservicesas beingconnectedwithhisbusinessandwhentheuseoftheregisteredtrademarkbyanyother personinrespectofserv icesotherthanthedesignatedservicescoveredbytheregistered trademarkandservicessimilartheretoorgoodssimilartothedesignatedserv icesislikelyto causeconfusionbetweensuchservicesorgoodsanddesignatedservicesinco nnectionwith hisbusi ness,obtainadefensivemarkregistrationofamarkidenticalwiththeregi stered trademarkwithrespecttoservicesorgoodsforwhichsuchpossibilityofconfusionexists.

Conversion of Applications

- **65.**—(1)Anapplicantmayconverthisapplication for application for application of adefensive mark.
- (2)Anapplicationmaynotbeconvertedundertheprecedingsubsectionafterthe examiner'sdecisionorthetrialdecisionwithrespecttotheapplic ationhasbecomefinalan d conclusive.
- (3) Sections 10(2) and (3) and 11(4) shall apply *mutatismutandis* to the case of conversion of an application under Subsection (1).

TermofRightBasedonDefensiveMarkRe gistration





- **65bis.**—(1)Thetermofarightbasedonadefensivemark registrationshallbe10years fromthedateoftheregistrationofitsestablishment.
- (2)Thetermofarightbasedonadefensivemarkregistrationmayberenewedby makingarequestforregistrationofarenewalofitsregistration. However, this provision shall not applywhere the registered defensive mark has become not regist rable under Section 64.

RegistrationofRenewalofTermofRightBasedonDefensiveMarkRe gistration

- **65ter.**—(1)Anypersondesiringregistrationofrenewalofthetermofari ghtbasedon adefensivemarkre gistrationshallsubmitarequesttotheCommi ssionerofthePatentOffice, statingthefollo wing:
 - (i)thenameandthedomicileorresidenceoftheapplicant;
 - (ii)theregistration numberofthedefensivemark;
- $(iii) oth\ ernecessary particulars as prescribed by an ordinance of the Ministry of Economy, Trade and Industry.$
- (2) An application for registration of the renewal shall be made within six month to the date of the expiration of the term.
- (3)Whereaperson desiringregistrationofren ewalofarightbasedonadefensive markregistr ationhasbeenunabletomakeanapplicationforregistrationofrenewalofthat rightwithinthetimelimitallowinghimtomakesuchapplicationunderthepreceding subsectiond uetoreasonsou tsidehiscontrol,hemaymakesuchapplicationwithin14days (whereheisaresidentabroad,twomonths)fromthedateonwhichthereasonsceasedtobe applicablebutnotlaterthansixmonthsfollowingtheexpir ationofthesaidtimeli mit.
- (4)Whenanapplicationhasbeenmadeforregi strationofrenewalofthetermofthe rightbasedonadefensivemarkregistration,thetermshallbedeemedtohavebeenrenewed uponexpirationoftheterm(or,incaseofanapplicationundertheprec edingsubsection,on thefilingdateofsuchapplication).However,thisprovisionshallnotapplywhenthe examiner'sdecisionortrialdec isionthattheapplicationistoberefusedhasb ecomefinaland conclusiveorwhenrenewalofthetermoftherigh tbasedonadefensivemarkregi strationhas beenregistered.
- **65quater.**—(1)Whereanapplicationforregistrationofren ewalofarightbasedona defensivemarkregistr ationfallsunderanyofthefollowingparagraphs,theexaminersshall makeadecision thatthea pplicationistoberefused:
- (i)theregistereddefensivemarkinsuchapplic ationhasbecomenotregistrableu nder Section64;
 - (ii)theapplicantisnottheowneroftherightbasedonthedefensivemarkregistr ation.





- (2) Wherethe examiner inds nore as on sforrefu sing an application for registration of renewal, he shall make a decision that there new alist oberegistered.
- **65quinquies.** Section 14 and 15 bis of this Law, Sections 48(e xclusion of examiners) and 52(formal requirements of deci sion) of the Patent Law shall apply mutatismutand is to an examination of an application for registration of renewal of a right based on ad efensive mark registration.

RegistrationofRenewalofTermofRightBasedonDefensiveMarkRe gistration

- **65**sexies.—(1)WhenaregistrationfeeunderSection65 septies(2)hasbeenpaid,the renewalofthetermoftherightbasedonthedefensivemarkre gistrationshallberegistered.
- (2) Upontheregistrationundertheprecedingsu bsection, the following shall be published in the Trademark Gazette:
- (i)thenameandthedomicileorresidenceoftheowneroftherightbasedonthe defensivemarkregistration;
 - (ii)theregistrationnumberandthedateofren ewalregistration;
 - (iii)othernecessaryparticulars.

RegistrationFees

- **65septies.**—(1) Aperson who obtains registration of a right based on a defensive mark registration shall pay the amount of 66,000 yen per case or this amount multiplied by the number of classes of the class if ication of goods and services as are gistration fee.
- (2)Apersonobtainingregistrationofrenewalofthetermofarightbasedona defensivemarkre gistrationshallpaytheamountof130,000yenpercaseorthisamount multipliedbythenumberofclassesoftheclassificationofgoodsand serv icescovered,asa registrationfee.
 - (3) Section 40(3) to (6) shall apply *mutatismutandis* to the preceding two subsections.

TimeLimitforPaymentofRegistrationFees

- **65***octies*.—(1)TheregistrationfeeunderSection65 *septies*(1)shallbepaidwith in30 daysfromthedateofthetransmittaloftheexaminer'sdecisionorthetrialdecisionthatthe defensivemarkistobere gistered.
- (2) TheregistrationfeeunderSection65 *septies*(2)shallbepaidwithin30daysfrom thedateofthetransmittalof theexaminer'sdecisionoratrialdecisionthattherenewalofa rightbasedonadefensivemarkregistrationistoberegistered(wheresuchtransmittalis madebeforethedateoftheexpirationofthetermofarightbasedonadefensivemark registration,thedateoftheexpirationoftheterm).



(3) Upontherequestofapersonliabletopayaregistrationfee,theCommissionerof the Patent Of fice may extend the period prescribed in the preceding two subsections by a subsection of the period prescribed in the preceding two subsections by a subsection of the period prescribed in the period prescperiodnotexcee ding30days.

PaymentofRegistrationFeebyanI nterestedPe rson

65*novies.*—(1)AnyinterestedpersonmaypayaregistrationfeeprescribedinSection 65 septies (1) or (2) even against the will of the person liable to pay the registr

(2) Aninterestedpersonwho haspaidaregistr ationfeeundertheprecedingsubsection may demand reimbur sement of the expenditure to the extent that the person liable to pay theregistrationfeeisactua llymakingaprofit.

RefundofRegistrationFeePaidbyMi stakeorinExcess

65*decies*.—(1)Aregistrationfeepaidbymistakeorine xcessunderSection 65 septies (1) or (2) shall be refunded on the request of the person making the pa yment.

(2)Norefundofaregistrationfeeundertheprecedingsubsectionmayberequested afterone yearfromthedateofpayment.

DependenceofRightBasedonDefensiveMarkRegi stration

- **66.**—(1)Therightbasedonadefensivemarkregistr ationshallbeextinguishedifthe principaltrad emarkrighthasbeend ivided.
- arkregistr ationshallbetransferredtogetherwith (2)Therightbasedonadefensivem thepri ncipaltrademarkrightiftheprincipaltrademarkrightistransferred.
- (3)Therightbasedonadefensivemarkregistr ationshallbeextinguishedifthe principaltrad emarkrightisextinguished.
- (4) Whereatrademarkright is deemed to have been extinguished under the provision of Section 2 0(4), the effect of the right based on a defensive mark relating to the trademark rightrestoredundertheprovisionofSection21(2)shallnotextendtoacts prescribedinthe paragraphsofthefollowingsectionbeforethetermofthetrademarkisregi steredunderthe provisionofSection21(1)afterthelapseofaperiodduringwhichanapplicationforthe registrationofarenewalofthetrademarkmaybefil edasprescribedinArticle20(3).

ActsDeemedtobeanInfringement

- **67.** The following acts shall be deemed to be an i nfringementoftheprincipaltrademark rightorarightofexclusiveuse:
- (i)useofaregistereddefensivemarkinrespectofthedesig natedgoodsordesi gnated services;





- (ii)actsofholding,forthepurposeofassig nmentordelivery,thedesignatedgoodson whichoronthepackagingofwhichtheregistereddefensivemarkhasbeena pplied;
- (iii)actsofholdingorimportingarticleswh ichareforusebypersonstowhomthe servicesareprovidedandtowhichtheregistereddefensivemarkhasbeenapplied,inthe provisionofthedesignatedservices,forthepurposeofusingsucharticlesintheprovisionof suchser vices;
- (iv)actsofa ssigningordeliveringarticleswhichareforusebypersonstowhomthe servicesareprovidedandtowhichtheregistereddefensivemarkhasbeenapplied,inthe provisionofthedesignatedservices,forthepurposeofcausingsucharticlestobeusedin the provisionofsuchservice,oractsofholdingorimportingsucha rticlesforthepurposeof assigningordeliveringthem;
- (v)actsofholdinggoodsbearingareproductionoftheregistereddefensivemarkfor thepurposeofusingsuchamarkinrespect ofthedesignatedgoodsordesignatedservices;
- (vi)actsofassigningordelivering,orofhol dingforthepurposeofassignmentor delivery,goodsbearingareproductionoftheregisteredd efensivemark,forthepurposeof causingsuchd efensivemarkt obeusedinrespectofthedesi gnatedgoodsordesignated services;
- (vii)actsofmanufacturingorimportinggoodsbearingareproductionoftheregistered defensivemarkforthepurposeofusingsuchdefensivemark,orcausingittobeused,in respect ofthedesi gnatedgoodsordesignatedservices.

Application mutatismutandis of Provisions on Trademarks

- **68.**—(1) Sections 5,5 bis, 6(1) and (2), 9 bis to 10, 12 bis, 13(1) and 13 bis shall apply mutatismutandis toapplicationsfortheregistrationofdefe nsivemarks.Insuchacase, "(iii) the design at edgo ods or design at edservices and the class of classification of the goods and the design at edgo of the control of the class of classification of the goods and the class of classification of classification of the goods and the class of classification ofservicesaspr escribedbyCabinetOrderreferredtoinSection6(2) "inSection5(1)shallread "(iii)thedesi gnatedgoodsord esignatedservicesandtheclassofclassificationofthegoods andservicesasprescribedbyCabinetOrderreferredtoinSection6(2); (iv)theregistration number of the princ ipaltra demark registration to which the applic ationforregistrationofthe defensivemarkr elates," "(iv)wheredesignatedgoodsordesignatedservicesarenotstated inSection5 bis(1)shallread "(iv)wheredesignatedgoodsordesignatedservicesarenot stated; and(v)theregistrationnumberoftheprincipaltrademarkregis trationtowhichthe applicationforregistrationofthed efensivemarkrelatesisnotstated, "and "Section37" in Section 13bis (5) shallread "Section 67 (with the exception of the proportion relating to Paragraph(i)) ".
- (2)Sections14to15 *bis*andSec tions16to17 *bis*shallapply *mutatismutandis* to the examination of applications for the registration of a defensive mark. In such a case, "Section 3,4(1),8(2)or(5),51(2) (including its application under Section 52 *bis*(2))or53(2)" in Section 15(i) shall read "Section 64".





- (3) Sections18,26to28 bis,32to33 ter,35and69shallapply mutatismutandis toa rightbasedonadefensivemarkregistration.Insuchacase, "theregistrationfeeunder Section40(1)ortheregistrationfeeduetobepaid within30daysfromthedateofthe transmittaloftheexaminer 'sdecisionoratrialdecisionthatthetrademarkistoberegistered underSection41 bis(1)"inSection18(2)shallread "aregistrationfeeunderSection65septies(1)".
- (4)Section43 bist o46 bis,53bis,53ter,54(1)and55 bisto56 bisshallapply mutatis mutandistoanoppositiontoandatrialrelatingtothere gistrationofadefensivetrademark. Insuchacase, "Section3,Section4(1),Section8(1),(2)or(5),Section51(2)(includin gits applicationunderSection52 bis(2)),Section53(2)"inSection43 bis(i)and46(1)(i)shallread "Section64"and"where,aftertheregistration,theregisteredtrademarkhasbecomea trademarkfallingunderSection4(1)(i)to(iii),(v)and(vii)to (xvi)"inSection46(1)(v)shall read"thetrademarkre gistrationhascomebecontrarytotheprovisionofSection64".
- (5)Sections57to63 bisshallapply mutatismutandis toaretrialandanactionrelating Insuchacase, "eachparagraphof Section 37" in totheregistrationofadefensivetrademark. Paragraph(ii)ofSection59shallread"Paragraphs(ii)to(vii)ofSection67""atrademark rightrelatingtoarevokedorinvalidatedorcanceledtrademarkregistration"inSection60 shallread"arig htbasedonadefensivemarkregistrationrelatingtoarevokedorinvalidated orcanceleddefensivemarkregistration"and "atrademarkregistrationapplication" shallread "anapplicationfortheregistrationofadefensivemarkoranapplicationforthe registrationof arenewalofarightbasedonadefensivemarkregistration"and "theesta blishmentofa trademarkright"shallread"thee stablishmentofarightbasedondefensivemarkregistration orregistrationofrenewalofitsterm"and"theregist eredtrademark...oratrademarksimilar thereto...ondesignatedgoodsordesignatedservicesorgoodsorservicessim ilarthereto" shallread"identicaltrademarkwiththeregistereddefe nsivemark".

CHAPTERVII BIS SPECIALPROVISIONSU NDERTHEPR OTOCOLOFTHEMADRIDAGREE MENT

1.ApplicationforInternationalRe gistration

ApplicationforInternationalRegistr ation

68bis.—(1) AJapanesenationaloranalienwhoisdom iciledorresident(or,inthecase ofalegale ntity,established)inJapan,whodesir estoseekaninternationalregistration "theinternationalregistration") as referred to in Article 2(1) of the (hereinafterreferredtoas ProtocolR elatingtotheMadridAgreementConcerningtheI nternationalRegistrationof MarksasadoptedatMadridonJune27,1989(hereinafterreferredtoas "theProtocol"), shall filewiththeCommi ssionerofthePatentOfficeanapplication(her einafterreferredtoas "international application"), as referred to in Article 2(2) of the Pr otocol, based on either one ofthefollowingpar agraphs. Insuchacase, whereit complies with the requirements pecified inanordinanceoftheMinistryofEconomy,TradeandIndustry,twoormorepersonsmay makeajointinternationala pplication:





- (i) theirapplicationfortradem arkregistrationorfordefensivemarkregistration pendingwiththePatentOffice(hereinafterreferredtoas "applicationfortrademark registration,etc.");
- (ii) their trademarkregistrationordefensivemarkregistration(hereinafterreferredtoas "trademarkregistration,etc".").
- (2) Anypersondesiringtofileaninternationalapplicationshallsubmittheform prescribedbytheRegistrationsundertheProtocol(hereinafterinthisChapterreferredtoas "therequestandnecessarydocuments") prepare dinaforeignlanguage, asprovidedforinan ordinanceoftheMinistryofEconomy, TradeandIndustry.
 - (3) Thefollowingparticulars shall be indicated in the equest:
- (i) thenamesoftheContractingStatesoftheProtocolforwhichtheprotectionof the trademarksrelatingtotheinternationalapplicationissought;
- (ii) the goods or services in respect of which protection of the mark relating to the international application is sought, and the class or classes of the classification prescribed by Cabinet Orderin Section 6(2).
- (4) AnypersondesiringtoseektheapplicationofArticle3(3)oftheProtocolfor trademarksormarksrelatingtotheinternationalapplicationshallindicateintherequesta statementtothateffectandadescriptionofthe colorortheco mbinationofcolorsaffixed,and alsoshallappendtotherequestcopiesincolorofthetrademarksormarksoroftheregistered trademarksorregi stereddefensivemarksrelatingtotheapplicationfortrademarkregi stration, etc.
- **68ter.**—(1) The Commissioner of the Patent Offices hall transmitther equest of the international application and necessary documents to the International Bureau as referred to in Article 2(1) of the Protocol (herein after referred to as "the International Bureau").
- (2) Inthecaseoftheprecedingsubsection, the Commissioner of the Patent Officeshall certify that the particular sappearing in the international application correspond to the particular sappearing in the basic application, etc. or in the basic register at ion, etc. and also indicate the date of the international application.
- $(3) \ In the case of Subsection (1), the Commissio ner of the Patent Office shall transmit to the applicant for the said international application acopy of the request of the international Bureau. \\$

LaterDesignation

68quater. Asprovided for in an ordinance of the Ministry of Economy, Trade and Industry, the holder of international registration may present are quest for territorial extension to the Commissioner of the Patent Office under Article 3 ter of the Protocol (herein after referred to as "the later designation").





RequestforRenewalofInternationalRegistr ation

Tradeand **68quinquies.** Asprovided for in an ordinance of the Ministry of Economy, Industry, the holder of international registration may present are quest for renewal of his international registration to the Commissioner of the Patent Office under Article7(1)ofthe Protocol(hereinafterreferredtoas "therenewaloftheint ernationalregistr ation").

RequestforRecordalofChangeintheOwnershipofInternationalRegi stration

- **68**sexies.—(1) AsprovidedforinanordinanceoftheMini stryofEconomy, Tradeand Industry, the holder of international registration or hisa ssigneemaypresentarequestforthe recordalofchangeintheownershipoftheinternationalre gistrationtotheCommissionerof the Patent Of fice under Article 9 of the Protocol (herein after referred to as a superior of the Protocol) and the Patent Of fice under Article 9 of the Protocol (herein after referred to as a superior of the Protocol)."thechangeinthe ownershipoftheinternationalregi sta tion").
- (2) Therequestasreferredtointheprecedingsubsectionmaybemadeinrespectofall or some of the Contracting Parties in whose territories the said registration has effect and in the contracting Parties in whose territories the said registration has effect and in the contracting Parties in whose territories the said registration has effect and in the contracting Parties in whose territories the said registration has effect and in the contracting Parties Partiesrespectofallorsomeofthegoodsorserviceslistedin theregi stration.

Application mutatismutandis of Prov isionson Application for Trademark Registr ation

68septies. Sections 17(3) (limited to the proportion relating to Paragraph (iii)) and 18(1)ofthePatentLawasappliedunderSection77(2)ofthisLaw shalla pply *mutandis*to theinternational applic ation, the later designation, the requestfortherenewalofinternational registrationandther equestfortherecordalofchangeintheownershipofinternational registration.

Delegation to Ordinance of the Ministry of Economy, Trade and Industry

68*octies*. InadditiontothemattersprovidedforinSe ctions68 bisto68 septies, necessarydetailsforimplementing the Protocoland the Regulationsthere under shall be providedforinanordinanceoftheMinist ryofEconomy, TradeandIndustry, relating to the international application, the later designation, the request for the renewal of international registrationandtherequestfortherecordalofchangeintheownershipofinte rnational registration.

2.Sp ecialProvisions RelatingtoApplicationforInternational Registration

TrademarkApplicationBasedonRequestforTerritorial Extension

68novies.—(1) Arequestforterritorial extension with especttoJapanshallbe considered to be an application for trademark registration having been filed on the date of internationalregistrationmadeinaccordancewithArticle3(4)oftheProt ocol(hereinafter referredtoas "thedateofinte rnationalregistration"). However, in the case of later designation, under Article3 ter(2) of the Protocol, the application for trademark registration





shallbeconsideredtobetheappl icationhavingbeenfiledonthedate(hereinafterreferredto as "thedateoflaterdesignation")onwhichlaterdesignationisrecordedinther egisterofthe InternationalRegisterdefinedinA rticle2(1).

(2) Themattersspecified in the left - hand column of the following table contained in the International Register of the international registration with respect to Japan shall be considered to be the matters specified in the right - hand column of the following tablest attending the request of a pplication for trade mark registration filed under Section 5(1):

Thenameandthedom icileofthe holderoftheinternationalre gistration	Thenameandthed omicileor residenceoftheapplicantforatrademark registration
Themarkregisteredinthe InternationalRe gister	Themarkforwhichregistrationissought
Thegoodsorserviceslistedinthe internationalregistrationandtheclassofthe classificationofgoodsorser vices	Thedesignatedgoodsordesignated servicesandtheclassoftheclassification of goodsorservicesasprescribedbyCabinet Orderreferredto inSe ction6(2)

Special ProvisionsforTimeofFilingofApplicationforInternationa lTrademarkRegi stration

68decies.—(1) Wherearegisteredtrademark(hereinafterinthisSectionreferredtoas "theregisteredtrad emarkbasedoninternationalregistration")rela tingtotherequestfor territorialextensionco nsideredtobeanapplicati onfortrademarkregi strationunder 68novies(1)(hereinafterinthisChapterreferredtoas "theapplication for international trademarkregistration")isidenticalwithatrademarkpriortothetrademarkregistr ation (excludingtrademarksbasedoninterna tionalregistration; hereinafterinthisSectionreferred toas "theregisteredtrademarkbasedonnationalregistration "),andthedesignatedgoodsor designatedservicesrelatingtotheregisteredtrad emarkbasedoninternationalregistrationare thesa measthedesignatedgoodsordesignatedserv icesrelatingtotheregisteredtrademark based on the national registration, and also where the trade mark holder of the registered trade mark based on the international registration and the trademarkholderofr egistered trade mark based on the national registration are identical, the applical properties of the propertiationforinternational trademarkregistrationshallbedeemedtohavebeenmadetotheextentthatsuchscopehas overlappedonthedateoftheapplicationfortrademarkregis trationrelatingtotheregistered trademark based on the national registr

(2) Section68duotricies (3)and(4)shallapply *mutatismutandis* to the application for international trademark registration in the preceding subsection.

SpecialProvisionsforTimeofFilingofApplic ation





68undecies. ForthepurposeofSection9(2)fortheapplic ationinternationaltrademark registration, "simultaneouslywiththetrademarkapplication" inSection9(2)shallread "within30daysfromthedateoffilingofth eapplicationforinternationaltrademark registration".

SpecialProvisionsforDivisionofAppl ications

68*duodecies*. Section 10 shall not apply to applications for international trademark registration.

SpecialProvisionsforConversionofApplic ations

68*terdecies*. Sections11and65shallnotapplytoapplicationsforinternational trademarkre gistration.

SpecialProvisionsforMattersPublishedinTrademarkGazette RelatingtoPubl icationofApplic ations

 $\label{eq:continuous} \textbf{68quaterdecies}. \ \ \text{ForthepurposeofSection} 12bi \quad s(2) \text{fortoappl ications for international trademark registration, "the number and date of application for trademark registration" in Section 12 \quad bis(2)(ii) \text{shall read} \quad "the number of the international registration and the date of the international registration (in the case of international trademark registration relating to late designation, the date of later designation)".$

Special Provisions for Proceedings for Prior ity Claim under the Paris Convention, etc.

68quindecies.—(1) Section43(1)to(4)ofthePa tentLaw,asa ppliedunderSection 13(1)ofthisLawshallnotapplytotheapplicationsforinternationaltrad emarkregistration.

(2) ForthepurposeofSection43(1)ofthePatentLaw,asappliedunderSection 43bis(3)ofthesaidLawasappliedunderS ection13(1)ofthisLawforapplicationsfor internationaltrademarkregistr ation, "simultaneouslywiththepatentapplication" internationaltrademarkregistration". "inthesaid Section43(1)shallread "within30daysfromthedateoffilingoftheapplicationfor internationaltrademarkregistration".

Special Provisions for Rights Resulting from Applications for Trademark Registration at ion and the state of the provision of the state of the sta

68sedecies.—(1) ForthepurposeofSection34(4)ofthePatentLaw,asappliedunder Section13(2)ofthisLawforapplicationsforinternationaltrademarkre gistration, "the CommissionerofthePatentOffice...exceptinthecaseofinheritanceorotherge neral succession"inSection34(4)ofthePatentLawshallread "theInternationalBureau".

(2) Section34(5)to(7)ofthePatentLawasa ppliedunderSectio n13(2)ofthisLaw shallnotapplytotheapplicationsforinternationaltrad emarkregistration.





Dealingwith ApplicationsforInternationalTrademarkRegistrationResultingfromChange intheOwnershipofanInternationalRegistration

68septiesdecies. Whereallorsomegoodsorserviceslistedintheinternational registrationhasbeend ividedandassignedresultingfromchangeintheownershipofan internationaltrademarkregistration, thea pplication for international trademarkregistration shallbe considered to have become applications for trademark registration with respect to eachofholderafterthechange.

SpecialProvisionsforNewAppl icationforTrad emarkasAmended

68*duodevicies*.—(1) Section17(3)oftheDesignLawasappliedu nderSection 17bis(1)orSection55 bis(3)(inclu dingitsapplicationunderSection60 bis(2))ofthisLawshallnot applytotheapplicationsforinternationaltrademarkregistr ation.

(2) Section17(4)oftheDesignLaw,asappliedunderSection17 bis(2)ofthisLaw shallnotapplytotheapplicationsforinternationaltrademarkregistration.

SpecialProvisionsforRegistrationofEstablis hmentofTrademarkRight

68*undevicies*.—(1) ForthepurposeofSection18(2)forapplic ationsforinternational trademarkregistratio n," whentheregistrationfeeunderSection40(1)ortheregistrationfee due to be paid within 30 days from the date of the transmittal of the examiner'sdecisionor the trial decision that the trade mark is to be registered under Section 41bis(1)hasbeen paid" inSection18(2)shallread "whentheexaminer 'sdecisionorthetrialdecisionthatthe trade mark is to be registered has been rendered".

(2) ForthepurposeofSection18(3)forapplic ationsforinternationaltrademark registration, "thenumbera nddateoftheapplicationfortrad emarkregistration "inSection 18(3)(ii)shallread "thenumberoftheinternationalregistrationandthedateofthe international registration (the date of later designation in the case of the application for internationaltrademarkregistr ationwithrespectto the laterdesignation, "and "theregistration numberanddateoftheregistr ationoftheestablishment "inSection18(3)(v)shallread" number of the international regions stration and the date of the registration oftheestablishment ".

EffectsofExtinguishmentofIntern ationalRegi stration

- **68vicies.**—(1) Whereallorsomeoftheinternationalregi strationonwhichitisbased hasbeen extinguished, application for international trademark registr ationshallbedee medto havebeen with drawn to the extental lors ome of the designated goods or de signatedservices hasbeene xtinguished.
- strationonwhichitisbasedhasbeen (2) Whereallorsomeoftheinternationalregi extinguished, the trademark right which has be engrantedtheregistrationoftheestablishment underSection18(2)asappliedunderSection68 undevicies(1)(hereinafterreferredtoas trademarkrightbasedontheinternationalregistration ")shallbedeemedtohavebeen





extinguishedtotheextent thatallorsomeofthedesignatedgoodsordesi gnatedserviceshas beene xtinguished.

(3) Theeffectsundertheprecedingtwosubse ctionsshallcomeintoforcefromthedate onwhichtheinternationalregistrationintheInternatio nalRegisterhasbeen extinguished.

TermofTrademarkRightBasedonI nternationalRegistration

- **68unvicies.**—(1) Thetermofatrademarkrightbasedonthei nternationalregistration shallbetenyearsfromthedate(thedateofitslatestrenewal,ifthetermofinternational registrationhasbeenr enewedpriortotheregistrationoftheestablis hmentofthetrademark right)oftheinternationalre gistration.
- (2) Thetermofthetrademarkrightbasedontheinternationalregistrationmaybe renewedontherenewalofthetermo ftheinternationalregistr ation.
- (3) Whenthetermoftheinternationalregistr ationhasbeenrenewed, the termofthe trademark right based on international registrations hall be deemed to have been renewed upon expiration of the term.
- (4) Whentheter moftheinternationalregistr ationhasnotbeenrenewed,thetrademark rightbasedoninternationalregistrationshallbedeemedtohavebeenextinguished retroactivelyfromthetimeoftheexpirationoftheterm.

SpecialProvisionsforRegistrationofRe newalofTerm

68*duovicies*.—(1) Sections19to22and23(1)and(2)shallnotapplytothetrademark rightsbasedontheinte rnationalre gistration.

(2) ForthepurposeofSection23(3)forthetrademarkrightsbasedontheinternational registration, "registrationintheprecedingtwosu bsections"inSection23(3)shallread "the renewalofthetermofinternationalregistration, and "thenumberofregistrationandthedate oftheregistrationandthedateofrenewalofthetermofinte" rnationalregistration".

Special Provisions for Division of Trademark Rights

68*tervicies*. Section 24 shall not apply to the trademark rights based on the international registration.

Special Provisions for Transfer of Collective Trademark Right

- **68quatervicies** .—(1) The collective trademark right trademark rights based on the international registration may not be transferred, except in the case where the documents prescribed in Section 7(3) are submitted.
- (2) Section 24(3) shall not apply to the trade mark rights based on international registration.





Special Provisions for Surrender of Trademark Rights

- **68quinvicies.**—(1) Theownerofthetrademarkrightsbasedontheinternational registrationmaysurrenderhistrademarkrights.
- (2) Section 97(1) of the Patent Law as applied under Section 35 of this Law shall not apply to the trademark rights based on the international regions.

Special Provisions for Effects of Registration of Trademark Tights

- $\textbf{68sevicies.} \hspace{-0.5cm} \textbf{(1)} \hspace{0.2cm} \textbf{The transfer, extinguish ment by surrender or restriction on disposal of the trade mark rights based on the international registration shall be of no effect unless it is registered.}$
- (2) Section 98(1)(i) and (2) of the Patent Lawasa pplied under Section 35 of this Law shall not a pplytothetra demark rights based on the internation.

SpecialProvisionsforRegi strationinTrademarkRegister

- **68septiesvicies** .—(1) ForthepurposeofSection71(1)(i)forthetrademarkrigh tsbased ontheinternationalregi stration, "theestablishment,renewalofterm,d ivision,transfer, change,extinguishment,restor ationorrestrictiononthedisposaloftrademarkrights "in Section71(1)(i)shallread "theesta blishmentortherestrictio nondisposaloftrad emark rights".
- (2) Withrespecttotherenewal,transfer,changeorextinguishmentofthetrademark rightsbasedontheinternationalregistration,theentryintheInternationalRegi stershall prevail.

SpecialProvisionsforAmendmen tsofPr oceedings

- **68***duodetricies* (1) Forthepurposeofapplicationsfortheinte rnationaltrademark registration, amendments forthedesignated goods ordesignated services stated in the request or forthetrademark for which trademark registration is ought, can be made, only within a designated time limit, in accordance with Section 15 *bis* [including its application under Section 55 *bis* (1) (including its application under Section 60 *bis* (2))] or of Section 15 *ter* [including its application under Section 60 *bis* (2))].
- (2) Section68*quadragies* shallnotapplytotheapplicationsfortheinternational trademarkre gistration.

SpecialProvisionsforExceptionalProvisionsonTrademark withTwoorMoreDesigna ted GoodsorDesignatedServices

68*undetricies*. ForthepurposeofSection69forthetrademarkrightsbasedonthe internationalregistration; Sections97(1)or98(1)(i)ofthePatentLawasappliedunder





Sections20(4), 33(1)and35ofthisLaw "inSection6 9shallread "Sections33(1), 68quinvicies(1)or68 sevicies(1)"and "Section71(1)(i)" inSection69shallread "Sections 71(1)(i),68 septiesvicies (2)asappliedunderSection68 septiesvicies (1)".

IndividualfeeforTrademarkRightsBasedonInternationa lRegi stration

68tricies.—(1) Anypersondesiringtheregistrationoftheestablishmentoftrademark rightsbasedonthei nternationalregistrationshallpay,inadvanceoftheinternational registration,totheIntern ationalBureauanindividualfee,aprescribedinArticle8(7) (a)of theProtocol(hereinafterreferredtoas "theindividualfee"),inthesumamountingto ¥4,800 percaseplus81,000yenforeachclassoftheclassificationofgoodsandservices.

- (3) Sections40to43and76(2)(limitedto the proportion specified in the Attached Table 1) shall not apply to the applications for the international registration and to the trade markrights based on the international registration.

Delegation to Ordinance of the Ministry of Economy, Trade and Industry

68*untricies*. InaddictiontothemattersprovidedforinSe ctions68 *novies*to68 *tricies* necessarydetailsforimplementingtheProtocolandtheRegulationsthereundershallbe providedforinanordinanceoftheMinistryofEconomy,Trade andIndustry.

${\bf 3. Special Provisions for Applications for Trademark Registration, etc.}$

SpecialProvisionsforTrademarkRegistration SubsequenttoCancellationofInte rnationalRegistration

68duotricies.—(1) Wheretheinternationalregistrationisca ncelledunderArticle6(4) oftheProtocolinr espectofallorsomeofthegoodsandserviceslistedinthesaid registration,thepersonwhowastheholderofinternationalregistration,mayfilean applicationfortheregistrationofthesamemarkwhichwas registeredintheInternatio nal RegisterwithrespecttoJapaninrespectofallorsomeofthesaidgoodsorservices.

- (2) Theapplicationforthetrademarkregistrationundertheprecedingsubsectionshall bedeemedtohavebeenfiledonthedateofint ernationalre gistrationasreferredtointhesaid subsection(inthecaseoftheinternationalregistrationasreferredtointhesaidsubsection in respectofsubsequentdesignation,thedateofsaidsubs equentdesignation)providedthatthe saidapplic ationfallsunderanyoneofthefollowingpar agraphs:
- (i) suchapplication in the preceding subsection is filed within three months from the date on which the international registration as referred to in the preceding subsection was cancelled:





- (ii) thet rademarkofwhichregistrationissoughtisidenticalwiththetrademarkin respectofsuchinternationalregistrationintheprecedingsu bsection;
- (iii) thedesignatedgoodsorthedesignatedservicesinrespectofsuchapplicationarein factcoveredby thelistofgoodsandservicescontainedinsuchinternationalregistrationin theprecedingsu bsection.
- (3) WheretheinternationalregistrationasreferredtoinSubsection(1)enjoyedthe rightofpriorityprovidedforbyArticle4oftheParisConven tion,thatapplicationunder Subsection(1)shallenjoythesamerightofpriority.
- (4) The preceding subsections hall also apply, where the priority was enjoyed under Section 43 bis (2) of the Patent Law as applied under Se application for international trademark registration in as referred to in Subsection (1).
- (5) ForthepurposeofSection10(1)withrespecttotheapplicationfortrademark registration underSubsection(1), "apartofhisapplicationfortrademarkregistration" in Section10(1)shallread "apartofhisapplicationfortrademarkregistration(limitedtothe goodsandservicesco veredbythelistofgoodsandservicescontainedintheinternational registrationasreferredtoinSection68 duotricie(1))".

SpecialProvisionsforApplicationforTrademarkRegistration SubsequenttoDenunci ationofProt ocol

- **68tertricies.**—(1) Wheretheholderoftheinternationalregi strationhavingeffectin Japanatthedateo nwhichthedenounciationunderArticle15(5)(b)oftheProtocolbecomes effective,who,becauseofthedenounciation,isnolongerentitledtofiletheinternational applicationunderArticle2(1)oftheProtocol,mayfileanapplicationfortrad emark registrationforthegoodsorserviceslistedinthesaidinternationalregistr ation.
- (2) Section68duo *tricies*(2)to(5)shallapply *mutatismutandis* totheapplicationfor trademarkregistrationundertheprecedingsubsection. Insuchacase, "withinthree months from the date on which the international registration in the preceding subsection was cancelled "in Section 68" duo *tricies*(2)(i) shall read "within two years from the date on which the denounciation under A rticle 15(3) of the Protocol tooke ffect".

SpecialProvisionsforReasonsforRe fusal

68quatertricies.—(1) ForthepurposeofSection15fortheapplic ationfortrademark registrationunderSection68 *duotricie*(1), "whereitfallsunderanyofthefollowing paragraphs"inSection15shallread "whereitfallsunderanyofthefollowingpar agraphs,or wheretheapplicationfortrademarkregistrationunderSection68 *duotricies*(1)or 68tertricies(1)dosenotcomplywiththerequir ementsofSection68 *duotricies*(1)or 68tertricies(2)(includingitsappl icationasa ppliedunderSection68 *tertricies*(2))".





(2) Section15(limitedtotheproportionforParagraphs(i)and(ii))shallnotapplyto duotricie(1)or68 tertricies(1) thea pplicationfortrademarkregistrationunderSection68 relatingtothetrade markrightbasedontheinternationalregi stration(referredtoas "re-filing oftrademarkrightrelatingtoformerinternationalregistr ation"inSections68 septiestricies and 68 undequadragies).

SpecialProvisionsforRegistr ationofEstablis hmentofTra demarkRight

68quintricies. NotwithstandingSection18(2),theestablishmentoftrademarkright shallberegisteredforthea pplicationfortrademarkregistrationunderSection68 or 68 tertricies (1), where thee xaminer's decision or trialdec is in has been made to the effect thatthetrademarkistoberegi steredwithintenyearsfromthedateofintern registrationrelatingtothesaidapplic ation(thedateofitslatestrenewal, wheretherenewalof thetermofsaidinternationalregi strationhasbeenmade).

SpecialProvisionsforTerm

quintricies **68**setricies.—(1) ThetermoftrademarkrightasreferredtoinSection68 shall be ten years from the date of international registration relating to the said application(thedateofitslate strenewal, wheretherenewal of said international registr ationhasbeen made).

(2) Section 19(1) shall not apply to the term of trademark right referred to in Se ction 68setricies.

SpecialProvisionsforOpposi tiontoRegistr ation

68septiestricies . ForthepurposeofSection43 bisforthetrademarkregistrationmade inconsequence of there - filling of the application for trademark right from the former internationalregistration;" trademarkre gistration"inSection43 bisshallread "trademark registration(excludingthetrademarkregistrationmadeinconsequenceofthere -fillingofthe applicationfortrademarkrightfromtheformeri nternationalregistrationforwhichtheterm prescribedunderthisSectionhasexpiredwithouthavinganyoppositionrais edtothe trademarkre gistrationrelyingontheformerinternationalre gistration)".

> Special Provisions for Trial for Invalidation of Trademark Registration

68*duodequadragies*. ForthepurposeofthetrialinSection46 (1)forthetrademark registrationre latingtotheappli cationfortrademarkregistr ationunderSection68 duotricie(1) or68 tertricies(1), "Inthefo llowingcases "inSection46(1)shallread "Inthefollowing cases, orinthecasewherethetrad emarkregistrationhasbeeneffectedcontrary toSection 68*duotricies*(1),68 *tertricies*(1)oreachparagraphofSection68 duotricie(2)(includingits applicationunderSection68 tertricies(2))".

68*undequadragies*. ForthepurposeofSection47forthetrademarkregistrationforthe re-filingoftheap plicationfortheregistrationoftrademarkrightbasedonanyformer





internationalregistration; maynotbedemanded ". InSection47shallread "maynotbe demanded. Thesameshallapplypriortotheexp irationoffiveyearsfromthedateof registrationoftheestablishmentofthetrademarkright, withrespecttothetrademark registrationrelatingtore -filingoftheapplicationfortrademarkrightrelatingtoformer internationalregistrationwhenithasbecomeimpossibletomakeademandfortrial under Section46(1)withrespecttothetrademarkregistrationfromtheformerintern ational registration".

CHAPTERVIII MISCELLANEOUSPROVIS IONS

AmendmentofProceedings

68quadragies.—(1) Withrespecttoaprocedurerelatingtoatrademarkapplication,a defensivemarkapplic ation,ademandorotherprocedurerelatingtotrademarkordefensive markregistration,thepe rsoncarryingonsuchproceduremaymakeanamen dmentonly duringthependencyofthecaseine xamination,oppositiontoregistration,tria lorretrial.

(2) Notwithstandingtheprecedingsubsection, the person who has applied for a trademark registration may, simultaneously with the payment of the registration fee under Section 40(1) or 41 *bis*(1), amend to reduce the number of classification of goods and services in his application.

ExceptionalProvisionsonTrademarkRight withTwoorMoreDesignatedGoodsorDesignatedSer vices

69. ForthepurposeoftheprovisionsofSection13 *bis*(4)(includingitsapplication underSection 68(1)),20(4)and33(1)ofthisLaw,Section97(1)or98(1)(i)ofthePatentLaw asappliedunderSection35ofthisLaw,Sections43 *ter*(3),46(2),46 *bis*, 54ofthisLaw, Section132(1)ofthePa tentLawasrespectivelyappliedunderSection56(1)ofthis Lawor underSection174(3)ofthePatentLawasappliedunderSection61ofthisLaw,Section59, 60or71(1)(i)or75(2)(iv)ofthisLaw,relatingtotrademarkregistrationorthetrademark right,wheretherearetwoormoreitemsofdesignatedgoodsor designatedservices,the trademarkregistrationshallbedeemedtohavebeeneffected,oratrademarkrightshallbe deemedtoexist,foreachofsuchdesignatedgoodsordesignatedser vices.

ExceptionalProvisionsonTrademarks, etc. Similar to Registered Trad emarks

70.—(1) Thereferencesto "registeredtrademark" in Section 25,29,30(2),31(2), 31 bis(1),34(1),38(3),50,52 bis(1),59(i),64,73 or 74 shalli ncludetrademarks which are similar to the registered trademark and would be considered identical lifthey had the same coloring.



- (2) Thereferences to "registered defensive mark" in Sections 4(1)(xii) and 67 shall includemarkswhicharesimilartotheregistereddefensivemarkandwouldbeconsidered identicaliftheyhadthesamecolo ring.
- (3)Th ereferencesto "trademarksimilartotheregisteredtrademark" in Sections 37(i) and 51 (1) shall not include trade marks which are similar to the registered trade mark and a similar trawould be considered identical if the yhad the same coloring.

RegistrationinTrademar kRegister

- **71.**—(1)ThefollowingmattersshallberegisteredintheTrademarkRegisterkeptin thePatentOffice:
- (i) theestablishment, renewal of term, division, transfer, modification, extinguishment, restorationorrestrictionondisposalofatradem arkright;
- (ii)theestablishment,renewalofterm,transferorextinguishmentofarightbasedona defensivemarkregistration;
- (iii) theestablishment, maintenance, transfer, modification, extinguishmentor restrictionondisposalofarightofexclus iveornon -exclusiveuse;
- (iv)theestablishment,transfer,modification,extinguishmentorrestrictionondisposal ofrightsinapledgeuponatrademarkrightorarightofexclusiveornon -exclusiveuse.
- (2)TheTrademarkRegister,eitherinwholeor inpart, may be prepared by means of magnetictapes(includingothermaterialsonwhichmatterscanbeaccuratelyrecordedbyan equivalentmethod —hereinafterreferredtoas "magnetictapes").
- (3)Othermattersrelatingtoregistrationthatarenotprovid edforinthisLawshallbe prescribedbyCabinetOrder.

IssuanceofTrademarkRegistrationCe rtificate,etc.

- **71**bis.—(1)Whentheestablishmentofatrademarkrighthasbeenregisteredorthe establishmentofarightbasedonadefensivemarkhasbeenreg istered,theCommissionerof the Patent Offices hall is sue to the owner of the right acert if icate of trade mark registration or the patent of the patentacertificateofdefe nsivemarkregistration.
- (2) There -issuance of a certificate of trademark registration or a certificate o fdefensive markregistrationshallbeprescribedbyanordinanceoftheMinistryofEconomy,Tradeand Industry.

RequestforCertification,etc.

72.—(1)AnyonemayrequesttheCommissionerofthePatentOfficetoissuea certificate, acopyoranextract of documents, to allow the inspection or copying of documents or to is sue documents whose contents are recorded in the part of the Trademark





Registerpreparedbymagnetictapes, where such documents relate to trademark or defensive mark registrations. Ho wever, this provision shall not apply in the case of the following documents, which the Commissioner of the Patent Office considers it necessary to keep secret:

- (i)documentsconcerningatrialasprescribedinSection46(1)(includingits application *mutatismutandis* underSection68(4)),Section 50(1), 51(1),52 *bis*(1),53(1) or Section53*bis* (includingitsapplia tion *mutatismutandis* underSection68(4))oraretrialof thefinalandconclusivetrialdecision,withrespecttowhichpartiesorintervenor sco ncerned havegivenanoticethattherehasbeend escribedatradesecret(meaningtradesecretas referredtoinSection2(4)oftheUnfairCompet itionPreventionLaw(LawNo.47of1993)) ownedbythepartiesorintervenorsconcerned;
 - (ii)mattersli abletoinjurethereputationorpeacefulexistenceofanindivi dual;
 - (iii)mattersliabletocontravenepublicorderormorality.
- (2)Whereademandmadewithrespectfordocumentsasprescribedintheparagraphs of the preceding subsection is allowed, the Commissioner of the Patent Office shall notify a person, who has a bmitted such documents, to that effect with are a sonther efor.
- (3) The Law Concerning Access to Information held by Administrative Organs (Law No. 42 of 1999) shall not apply for those parts of documents and of the Trademark Register relating to trademark or defensive marker gistrations, which have been prepared by magnetic tapes.

IndicationofExistenceofTrademarkRegi stration

73. Theownerofatrademarkrightorofarightofexcl usiveornon -exclusiveuseshall, whenappl yingaregisteredtrademarktothedesignatedgoodsortheirpackaging,ortoarticles foruseintheprovisionofthedesignatedservices,orintheprovisionofthedesignated services,toarticlesrelatedtoth eprovisionofrelevantdesignatedservicesbelongingto personstowhomtheservicesareprovided,takestepstoattachtothetrad emarkanindication totheeffectthatthetrad emarkisaregisteredtrademark(hereinafterreferredtoas"indication oftr ademarkregistr ation")asprescribedinanordinanceoftheMini stryofEconomy,Trade andIndustry.

Prohibition of False Marking

- 74. Nopersonmaycommitanyofthefollowingacts:
- (i)actsofattachingtosuchtrademarkanindic ationofatrademarkreg istrationoran indicationconfusinglysimilartheretowhenusingatrademarkwhichisnotaregistered trademark;
- (ii)actsofattachingtosuchtrademarkanind icationofatrademarkregistrationoran indicationconfusinglysimilartheretowhenusinga regi steredtrademarkinrelationtogoods orservicesotherthanthedesignatedgoodsordesignatedservices;





- (iii)actsofholding,forthepurposeofassig nmentordelivery,goodstowhichortothe packagingofwhichatrademarkotherthanaregistere dtrademarkhasbeenattached,which areotherthanthedesignatedgoodstowhichortothepackagingofwhichatrademark registeredinrelationtogoodshasbeenattached,ortowhichortothepackagingofwhicha trademarkregisteredinr especttoserv iceshasbeenattached,andinr espectofwhichan indicationofatrademarkregi stration,oranindicationconfusinglysimilarthereto,hasbeen attachedtosuchtrademark:
- (iv)actsofholding,intheprovisionofservices,articleswhichareforuseby personsto whomtheservicesareprovidedandtowhichatrademarkotherthanaregisteredtrademark hasbeenapplied,which,intheprovisionofservicesotherthanthedesignatedservices,are forusebypersonstowhomtheservicesareprovidedandto whichatrademarkregisteredin respecttoserviceshasbeenapplied,orwhich,inthecourseorprovidingservicesaretobe suppliedforusebypersonstowhomtheservicesareprovidedandtowhichatrademark registeredinrespecttogoodshasbeenapp lied,andinrespectofwhichanindicationofa trademarkregistration,oranindicationconfu singlysimilarthereto,hasbeenattachedtosuch trademark(sucharticlesbeingreferredtointhenextparagraphas"articleswithafalse india tionoftradem arkregistrationinrespecttoser vices");
- (v)actsofassigningordelivering,orofholdingorimportingforthepurposeof assignmentord elivery,articleswithafalseindicationoftrad emarkregistrationinrespectto services,forthepurposeofcau singsucharticlestobeusedintheprovisionofsuchservices.

TrademarkGazette

- **75.**—(1)ThePatentOfficeshallpublishtheTrademarkGazette.
- (2) In addition to the particular sprovided for in this Law, the Trademark Gazette shall contain:
- (i) adec isionthatatrademarkapplicationistoberefused, orasurrender, withdrawalor declining of an application for trademark registration or for defensive mark registration, which is made after the applications having been laid open;
- (ii) successiontori ghtsresultingfromanappl icationfortrademarkregistration, which isgained after the application having been laid open;
- (iii) amendmentsfordesignatedgoodsordesigna tedservicesstatedinanapplicationor fortrad emarksforwhichtrademarkregistr ationsaresoughtormarksforwhichdefensive markregistrationsaresought, which are made after the applications having been laid open;
- (iv) extinguishmentofatrademarkright(exclu dingextinguishmentduetothe expirationofatermorfallingunder Section41*bis* (4));
- (v) an opposition to a trade mark registration or a demand for a trial or a retrial or their with drawal;





- (vi)finalandconclusiverulingonanoppositiontoatrademarkregistrationandfinal andconclusivedecisionofatrialorar etrial;
 - (vii)afinaljudgmentinanactionunderSection63(1).

Fees

- **76.**—(1)Thepersonspecifiedhereundershallpaythefeetheamountofwhichshallbe prescribedbyCabinetOrderwiththeactualcoststakenintoconsideration:
- (i) person making notif ication of succession in accordance with Section 34(4) of the Patent Law as applied under Section 13(2) of this Law;
- (ii)personrequestinganextensionofatimelimitunderSection17 quateroftheDesign LawasappliedunderSection17 bis(2)(including itsa pplicationunderSection68(2)ofthis Law),Section4or5(1)ofthePatentLawasappliedunderSection41(2)(includingits applicationunderSection41 bis(6)ofthisLaw),Section43 quater(3)(includingitsapplication underSection68(4)ofthis Law),Section65 octies(3)orSection77(1)ofthisLaw,orchange ofdateinaccordancewithSection5(2)ofthePatentLawasappliedunderSection77(1)of thisLaw;
- (iii) personfilinganinternationalapplicationtotheCommissionerofthePatentOffi ce inacco rdancewithSection68 *bis*;
- (iv) personpresentingarequestforlaterdesi gnationtotheCommissionerofthePatent OfficeinaccordancewithSection68 quater;
- (v) personpresentingarequestfortherenewaloftheinternational registration to the CommissionerofthePatentofficeinaccordancewithSection68 quinquies;
- (vi) personpresentingarequestforthe recordalofchangeintheownershipofthe internationalregistrationtotheCommissionerofthePatentO fficeinaccordancewithSecti on 68sexies:
- (vii)personrequestingre -issuanceofacertif icateoftrademarkregistrationordefensive markregistration;
 - (viii)personrequestingissuanceofcertificateinaccordancewithSection72(1);
- (ix)personrequestingissuanceofcopyorext ractofdocumentsinaccordancewith Section72(1);
- (x) person requesting in spection or copying of documents in accordance with Section 72(1);
- (xi) person requesting is suance of documents containing matters recorded in that part of the Trademark Register as prepared on magnetic tape, in accordance with Section 72 (1).





- (2) The persons specified in the left hand column of the attached table shall pay the fee the amount of which shall be prescribed by Cabinet Order within the limit of the amounts specified in the right hand column of the table.
- (3)Theprecedingtwosubsectionsshallnotapplywheretheperson specifiedinthe left-handcolumnofthetable istheState andthelike.
- (4) Theprovisions of Subsections(1)and(2)shallnotapplywheretheper sontopay thefeeinaccordancewithth ese provisions is the State entitled too wnjointly at rademark right, arising from a trademark application or aright based on the registration of a defensive mark and the independent administrative institutions prescribed by Cabinet Order in Section 40(3), or the independent administrative institutions entitled too wnjointly such rights prescribed by Cabinet Order in the same subsection .
- (5)WheretheStateandthelikeand thepersonotherthantheState andthelike own jointly atrademarkright, aright arisingfromatrademarkapplicationoraright basedon the registrationofa defensivemark, and there is an agreement with respect to their share softhe right, the registration fee under Subsection(1) or(2) (limited to the registration fee prescribed by CabinetOrder) shall be a sum with prescribed registration fee under the ese subsections multiplied by the ratio softhe sharesofthe personsother than the State and the like shall paysuch sum, not with standing the provision sof those subsections.
- (6)Wheretheamountoftheregistrationfeeca lculatedinaccordancewiththe provisionoftheprecedingsubsectionhasafractionalfigurelessthan10ye n,thatfractional figureshallbedi scarded.
- (7)ThepaymentofthefeeunderSubsection(1)or(2)shallbemadebypatentrevenue stampsaspr escribedbyanordinanceof the MinistryofEconomy,TradeandIndustry. However,whereversoprescribedbya nordinanceoftheMinistryofEconomy,TradeandIndustry,suchpaymentmaybem adeincash.
- (8) A fee paid by mistake or in excess shall be refunded upon the request of the person making the payment.
- (9)Norequestforarefundofafeeundertheprece dingsubsectionmaybemadeafter oneyearfromthedateofpayment.

Application mutatismutandis of Patent Law

- $\begin{tabular}{ll} \bf 77.--(1) Sections 3 to 5 (time limits and dates) of the Patent Lawshall apply & \it mutatis in the mutant distortion of the Patent Lawshall read "Section 44 (1) or 45 (1) of the Trademark Law" & . \\ \end{tabular}$
- (2) Sections6to9,11to16,17(3)and(4),18to24aswellasSection194 (proceedings)ofthePatentLawshallapply *mutatismutandis* totrad emarkanddefensive markapplications,demandsandanyotherproceedingsrelatingtotrademarkord efensive markregistrations.Insuchacase,"Se ction121(1)"inSection9ofthePatentLawshallread





"Section44(1)or45(1)oftheTr ademarkLaw",and "Section121(1)" in Section14 of the PatentLawshallread "44(1)or45(1)oftheTrademarkLaw"; "(ii) when the formal requirements specified in this Laworina nor deror or dinance the reunder have not been complied with "; in Section17(3) of the Patent Lawshallread "(ii) when the formal requirements specified in this Laworina nor deror or dinance the reunder have not been complied with; (ii bis are gistration fee under Section 40(2) of the Trademark Lawora registration feeduet obepaids imultaneously with a request for registration of renewal (including a surchargel iable to be paid under Section 43(1) or (2) of the Trademark Law) under Section 41 bis(2) is not paid "; and "... which cannot be a mended"; in Section 18 bis(1) of the Patent Lawshall read "which cannot be a mended (except where it falls under any of paragraphs of Section 5 bis(1) of the Trademark Law (including it sapplication under Section 68(1) of the same law)".

- (3) Section25(enjoymentofrightsbyaliens)ofth ePatentLawshallapply *mutatis mutandis*totrademarkrightsandotherrightsrelatingtotrademarkregistrations.
- (4) Section26(effectoftreaties)ofthePatentLawshallapply *mutatismutandis* to trademarkanddefensivemarkregistr ations.
- (5) Sect ions 189 to 192 (transmittal) of the Pa tent Lawshall apply *mutatismutandis* to transmit talunder this Law.
- (6) Section 195*ter* of the Patent Lawshall apply *mutatismutandis* to measure sunder this Law or an order or or dinance thereu nder this Law.
- (7) Section195 *quater*(restrictiononappealsu ndertheAdministrativeAppealLaw)of thePatentLawshallapply *mutatismutandis* toexaminers'd ecisionsandrulingstodeclinean amendment,arulingtorevoke,trialdecisionsandrulingstodismissawrittenopp ositionto thetrademarkre gistrationorademandfortrialorretrialunderthisLawaswellasto measuresfromwhichnoa ppealliesinaccordancewiththisLaw.

TransitoryMeasure

77bis.WhenCabinetOrderismadeorrepealedpursuanttotheprovisions ofthisLaw, anyrequisite transitorymeasures (including transitory measuresr elatingto penalprovisions) may,totheextentdeemednecessaryandreasonable,bemadebymeansofsuchCabinet Order.

CHAPTERIX PENALPROVISIONS

OffenseofInfringement

78. Anypersonwhohasinfringedatrademarkrightorarightofexclusiveuseshallbe liabletoi mprisonmentwithlabornotexceedingfiveyearsortoafinenotexcee ding 5,000,000yen.





OffenseofFraud

79. Anypersonwhohasobtainedatrademarkordefe nsivemarkregistrationora registrationofren ewalofthetermofatrademarkrightorrightbasedonadefensivemark registration, arulingonanoppositiontoatrademarkregistrationoratrialdecision, by means of a fraudulentactshallbeliablet oimprisonment with labornotexceeding three years or to a finenotexceeding 3,000,000 yen.

OffenseofFalseMarking

80. AnypersoninfringingSection74shallbeliabletoimprisonmentwithlabornot exceedingthreeyearsortoafinenote xceeding3,0 00,000yen.

OffenseofPerjury, etc.

- **81.**—(1) Awitness, expertwitness or interpreter who, having taken a noath under this Law, has made a false statement or has given a false expert opi nion or has interpreted falsely before the Patent Office or a court ommissioned there by shall be liable to imprison ment with labor for a term of not less than three months nor more than ten years.
- (2) Whereapersoncommittingtheoffenseintheprecedingsubsectionhasmadea voluntaryconfe ssionbeforetransmittalofth ecopyingofjudg ementonthecaseorbeforea rulingoradecisiononanoppositiontothetrademarkregistrationhasbecomefinaland conclusive,hissentencemaybereducedorsuppressed.

DualLiability

- **82.** Whereanofficerrepresentingalegalentity orarepresentative, employee orany otherservantofalegalentity orarepresentative of an attraction of the following paragraphs with regard to the business of the legal entity or natural person, the legal entity shall, in addition to the offender, beliable to the fine prescribed in the following paragraphs and the natural person shall be liable to the fine prescribed in those sections:
 - (i)Section78,subjecttoafineupto150mi llionyen;
 - (ii) Section79or80, subject to af ineupto100 million yen.

AdministrativePenalties

83. Whereaperson, who has taken a noath under Section 207(1) of the Code of Civil Procedure as a pplied under Section 151 of the Patent Lawasr espectively applied either under Section 71(3) of the Patent Lawas applied under Section 28(3) (including its application under Section 68(3)) of this Law, under Section 43 octies (including its application under Section 68(4)) or 56(1) (including its application under Section 68(4)) of this Law, under Section 61(including its application under Section 68(5)) of this Law, under Section 58(2) of the Design Lawas





appliedunderSection62(1) (includingitsapplicationu nderSection68(5))ofthisLaw, or underSection58(3)oftheDesignLawasappliedunderSection62(2)(includingits applicationunderSection68(5))ofthisLaw,hasmadeafalsestatementb eforethePatent Officeoracourtcommissionedthereby,heshallbeliabletoanadministrative penaltynot exceeding100,000yen.

- **84.** WhereapersonwhohasbeensummonedbythePatentOfficeoracourt commissionedtherebyinacco rdancewiththisLawhasfailedtoappearorhasrefusedtotake anoath,tomakeastatement,totestify,togivean expertopinionortointerpret,withouta legitimatereason,heshallbeliabletoanadministrativepenaltynotexceeding100,000yen.
- **85.** WhereapersonwhohasbeenorderedbythePatentOfficeoracourtcommissioned therebytoproduceorshowdocumen tsorotherevidenceinaccordancewiththeprovisionsof thisLawrelatingtotheexaminationorpreservationofevidencehasfailedtocomplywiththe order,withoutalegitimatereason,heshallbeliabletoanadministrativepenaltynot exceeding 100,0 00yen.

AttachedTable (RelatedtoSection76)

	Personliabletopay	Amounts
1.	Personfilingapplicationfortrademarkregistration	¥6,000percaseplus ¥15,000 foreachof theclassif ication
2. renewa	Personfilingapplicationfordefensivemarkregistration, or alloftermofrightbasedondefensivemarkregistration	¥12,000percaseplus ¥30,000foreach of theclassification
3.	Persondemandingthedivisionofatrademarkright	¥30,000percase
4. 28(1)(i	Personrequestinginterpretationinaccordance with Setion including its application under Section 68(3))	¥40,000percase
5.	Personfilingoppositiontotrademarkregistration	¥3,000percaseplus ¥8,000,foreachof theclassification
6. opposi	Persondemandinginterventioninatrialexamination of the tiontotrademarkregistr ation	¥11,000percase
7.	Persondemandingtrialorr etrial	¥15,000percaseplus ¥40,000foreachof the classification
8.	Persondemandinginterventionintrialorretria 1	¥55,000percase





* Entryintoforce: January 6, 2001 (Section 72(3)shallenterintoforceasofApril1,2001.)